



## Research review paper

## Ectoines in cell stress protection: Uses and biotechnological production

José M. Pastor<sup>a,1</sup>, Manuel Salvador<sup>b,1,2</sup>, Montserrat Argandoña<sup>b,2</sup>, Vicente Bernal<sup>a</sup>, Mercedes Reina-Bueno<sup>b,2</sup>, Laszlo N. Csonka<sup>c</sup>, José L. Iborra<sup>a</sup>, Carmen Vargas<sup>b,2</sup>, Joaquín J. Nieto<sup>b,2</sup>, Manuel Cánovas<sup>a,\*</sup>

<sup>a</sup> Department of Biochemistry and Molecular Biology B and Immunology, University of Murcia, Campus de Espinardo, 30100 Murcia, P.O. Box 4021, Spain

<sup>b</sup> Department of Microbiology and Parasitology, Faculty of Pharmacy, University of Seville, Seville, Spain

<sup>c</sup> Department of Biological Sciences, Purdue University, West Lafayette, IN 47907-1392, USA

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## ABSTRACT

Microorganisms produce and accumulate compatible solutes aiming at protecting themselves from environmental stresses. Among them, the wide spread in nature ectoines are receiving increasing attention by the scientific community because of their multiple applications. In fact, increasing commercial demand has led to a multiplication of efforts in order to improve processes for their production.

In this review, the importance of current and potential applications of ectoines as protecting agents for macromolecules, cells and tissues, together with their potential as therapeutic agents for certain diseases are analyzed and current theories for the understanding of the molecular basis of their biological activity are discussed. The genetic, biochemical and environmental determinants of ectoines biosynthesis by natural and engineered producers are described. The major limitations of current bioprocesses used for ectoines production are discussed, with emphasis on the different microorganisms, environments, molecular engineering and fermentation strategies used to optimize the production and recovery of ectoines. The combined application of both bioprocess and metabolic engineering strategies, allowing a deeper understanding of the main factors controlling the production process is also stated. Finally, this review aims to summarize and update the state of the art in ectoines uses and applications and industrial scale production using bacteria, emphasizing the importance of reactor design and operation strategies, together with the metabolic engineering aspects and the need for feedback between *wet* and *in silico* work to optimize bioproduction.

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\* Corresponding author. Tel.: +34 968 367398; fax: +34 968 364148.

E-mail addresses: [csonka@purdue.edu](mailto:csonka@purdue.edu) (L.N. Csonka), [cvargas@us.es](mailto:cvargas@us.es) (C. Vargas), [mcanovas@um.es](mailto:mcanovas@um.es) (M. Cánovas).

<sup>1</sup> Contributed equally to this work.

<sup>2</sup> Tel.: +34 954 553811; fax: +34 954 628162.

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## 1. Introduction to ectoines as compatible solutes

Halophilic microorganisms living in habitats of high ionic strength cope with hyperosmotic stress by changing the composition of membrane lipids and by regulating the intracellular concentration of low molecular weight solutes. As a result of the latter response, the cells are able to maintain proper osmotic balance under conditions of hyperosmotic stress, which is crucial to prevent the cell from leaking water, hence avoiding irreversible plasmolysis and dehydration and to generate turgor pressure within limits necessary for growth (Brown, 1990; Roessler and Muller, 2001). Cells use different strategies for the regulation of their internal osmolarity. One of these consists in the accumulation of inorganic salts, mainly KCl, to counterbalance the external salinity. This strategy has been adopted by the extremely halophilic haloarchaea, anaerobic moderately halophilic bacteria of the order *Haloanaerobiales* and the extremely halophilic bacterium *Salinibacter ruber* (Oren, 1999; Oren et al., 2002; Roberts, 2000). Because the enzymes and organelles of organisms that use this strategy need to function in environments of high ionic strength, they have evolved a number of extensive changes to make possible life under these extreme conditions. Foremost among these adaptive changes is that the enzymes of the KCl-accumulating organisms are much more acidic than orthologous proteins from mesophilic organisms (Dennis and Shimmin, 1997; Lanyi, 1974). This adaptation, however, is not useful for the colonization of habitats of moderate or low salinity since high intracellular salt concentration is always needed for correct protein folding and activity. Another, more versatile, strategy is the accumulation of “compatible solutes”, which generally are very soluble, low molecular weight, most either uncharged or zwitterionic organic molecules that are amassed in the cytoplasm. The latter types of solutes provide osmotic balance without interfering with the essential cellular processes and the normal metabolism and, since they have relatively little effect on the cytosolic ionic strength, no special adaptation of the intracellular systems (enzymes and organelles) is required (Brown, 1990; Oren, 1999). The level of compatible solute accumulation is set by the environmental osmolarity (Poolman and Glaesker, 1998). Upon a hypoosmotic shock, cells can restore the osmotic balance by releasing osmolytes via specific efflux systems, which are mechanosensitive channels different from the uptake systems (Morbach and Kramer, 2002). Therefore, this strategy enables organisms for rapid adaptation to an osmotically fluctuating environment by simply adjusting the internal solute pool to counteract the osmolarity of the surrounding environment. For this reason, this osmoadaptation strategy is widespread in nature, not only in Bacteria (Ventosa et al., 1998) and in some Archaea (Roessler and Muller, 2001), but also in Eukaryotes, including fungal, plant, animal and human cells (Burg and Ferraris, 2008; Yancey, 2005).

Compatible solutes fall into a few structural classes such as sugars (trehalose, sucrose), polyols (glycerol, sorbitol, manitol,  $\alpha$ -glucosylglycerol, mannosyl-glycerol, and mannosyl-glyceramide), N-acetylated diamino acids (e.g., N-acetylglutaminylglutamine amide), betaines (like glycine betaine and derivatives), amino acids (proline, glutamate, glutamine, and alanine) and derivatives. The latter group includes ectoines (ectoine and hydroxyectoine). It has been demonstrated that most bacteria use an array of different solutes for osmotic balance, mainly depending on the duration of the osmotic stress, the level of salinity, the availability of substrates and osmolytes in the

surroundings or the carbon source used for the growth medium (Roberts, 2005).

### 1.1. Ectoine producing microorganisms, biosynthetic pathway and regulation

The capacity to synthesize ectoines is most widespread among  $\alpha$ - and  $\gamma$ -Proteobacteria and Actinobacteridae, although it has been observed also in more limited number of  $\beta$ -,  $\delta$ -, and  $\epsilon$ -Proteobacteria, Firmicutes, and one Plantomycete (Table 1).

Ectoine can be considered to be a heterocyclic amino acid or as a partially hydrogenated pyrimidine derivative (1,4,5,6-tetrahydro-2-methyl-4-pyrimidinecarboxylic acid) (Galinski et al., 1985) (Fig. 1). It was discovered in the extremely halophilic phototrophic bacterium *Halorhodospira halochloris* (formerly *Ectothiorhodospira halochloris*) and characterized by  $^{13}\text{C}$ -NMR spectroscopy, mass spectrometry and infrared spectroscopy (Galinski et al., 1985). This bacterium is able to grow at concentrations of up to 5 M NaCl (Raymond and Sistrom, 1969), which makes compatible solute accumulation compulsory for cell survival. The main compatible solutes produced were glycine betaine or trehalose (depending on the availability of nitrogen), although ectoine was also synthesized in the exponential growth phase. Ectoine is one of the most widely found compatible solutes throughout different halophilic and halotolerant microorganisms, from photosynthetic bacteria of the genus *Halorhodospira* to chemoheterotrophic bacteria, including  $\gamma$ -proteobacteria of the genera *Halomonas*, *Chromohalobacter*, *Vibrio*, *Pseudomonas* and *Marinobacter* (Roberts, 2005), actinobacteria including members of *Brevibacterium* and *Streptomyces* genera, firmicutes including several species of the genus *Bacillus* and closely related genera such as *Virgibacillus*, *Salibacillus* or *Halobacillus* (Kuhlmann and Bremer, 2002; Malin and Lapidot, 1996), and *Marinococcus halophilus* (Louis and Galinski, 1997), among others which accumulate ectoines (Table 1).

Hydroxyectoine was discovered in the actinomycin D producer *Streptomyces parvulus* (Inbar and Lapidot, 1988). This compatible solute is more common among Gram-positive halophilic/halotolerant bacteria including *Nocardiopsis* sp., *Streptomyces griseolus*, *Brevibacterium linens* or *M. halophilus* (Frings et al., 1995; Severin et al., 1992), but it is often synthesized at lower amounts together with ectoine in many other ectoine-producing species (Table 1). Despite being almost chemically identical to ectoine, hydroxyectoine seems to confer additional protective properties derived from its hydroxylated nature. Thus, whereas the main function of ectoine is to serve as an osmoprotectant, hydroxyectoine also seems to play an important role in heat stress protection. For instance, *Halomonas elongata*, *Chromohalobacter salexigens* and *Streptomyces griseus* accumulate hydroxyectoine in response to temperature upshift, and a *C. salexigens* mutant devoid of the main ectoine hydroxylase (the enzyme which converts ectoine into hydroxyectoine) is thermosensitive, providing evidence that hydroxyectoine functions as a thermoprotectant *in vivo* (García-Estépa et al., 2006; Malin and Lapidot, 1996; Wohlfarth et al., 1990). This property promoted the emergence of industrial processes specifically focused on hydroxyectoine production (Frings et al., 1995; Schiraldi et al., 2006).

The biosynthetic pathway of ectoines was biochemically established and studied in *H. halochloris*, *H. elongata* DSM 2581 (Peters et al., 1990) and *H. elongata* DSM 3043 (Canovas et al., 1997). The latter strain along

**Table 1**

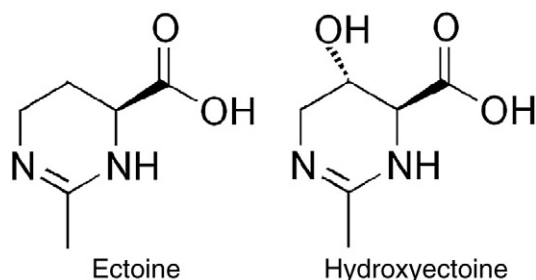
Microbial producers of ectoines: strains and conditions.

Microorganism	Compatible solutes	Salt concentration	Carbon source	References
<b>Actinobacteria</b>				
<i>Brevibacterium epidermis</i> DSM 20659	Ectoine	1 M (0 M downshock medium)	Monosodium glutamate + yeast extract	Onraedt et al. (2005)
<i>Brevibacterium linens</i>	Ectoine	1 M	L-glutamate (but "de novo" synthesis is the main way of production)	Bernard et al. (1993)
<i>Brevibacterium</i> sp. strain JCM 6894	Ectoine	2 M (osmotic upshock)	Glucose + yeast extract + Polypeptone	Nagata and Wang (2001)
<i>Kocuria varians</i> CCM3316	Ectoine, betaine, trehalose, hydroxyectoine	1.71 M	Yeast extract	Severin et al. (1992)
<i>Nesterenkonia halobia</i> DSM 20541 <sup>T</sup>	Ectoine, betaine, trehalose, hydroxyectoine	1.71 M	Yeast extract	Severin et al. (1992)
<i>Nocardiopsis</i> sp. A5-1	Ectoine, hydroxyectoine, trehalose	1.71 M	Glucose	Severin et al. (1992)
<i>Streptomyces coelicolor</i> A3 (2)	Ectoine, hydroxyectoine	0.5 M	Glucose	Bursy et al. (2008)
<i>Streptomyces griseolus</i> DSM 40067 <sup>T</sup>	Hydroxyectoine, betaine, trehalose	0.86 M	Yeast extract	Severin et al. (1992)
<b>Firmicutes</b>				
<i>Bacillus alcalophilus</i> DSM 485 <sup>T</sup>	Ectoine, glutamate	1 M	Glucose + Na-sesquicarbonate + amino acids and vitamins solution	Kuhlmann and Bremer (2002)
<i>Bacillus agaradhaerens</i> DSM 8721 <sup>T</sup>	Glutamate, ectoine, $\beta$ -glutamate	1.3 M	Glucose	Bursy et al. (2007)
<i>Bacillus clarkii</i> DSM 8720 <sup>T</sup>	Glutamate, ectoine, hydroxyectoine, $\beta$ -glutamate	1.8 M	Glucose	Bursy et al. (2007)
<i>Bacillus halodurans</i> DSM 497 <sup>T</sup>	Glutamate, ectoine, $\beta$ -glutamate	1.6 M	Glucose	Bursy et al. (2007)
<i>Bacillus mojavensis</i> DSM 9205 <sup>T</sup>	Glutamate, proline, ectoine	1.5 M	Glucose	Bursy et al. (2007)
<i>Bacillus pseudocaliphilus</i> DSM 8725 <sup>T</sup>	Glutamate, ectoine	1 M	Glucose + Na-sesquicarbonate	Bursy et al. (2007)
<i>Bacillus pseudofirmus</i> DSM 8715 <sup>T</sup>	Glutamate, proline, ectoine, alanine	1.6 M	Glucose	Bursy et al. (2007)
<i>Gracilibacillus halotolerans</i> DSM 11805 <sup>T</sup>	Glutamate, ectoine, hydroxyectoine	2 M	Glucose	Bursy et al. (2007)
<i>Halobacillus halophilus</i> DSMZ 2266 <sup>T</sup>	Ectoine, proline, glutamate, glutamine	Increasing gradient 0.4–3 M	Glucose + vitamins solution	Saum and Muller (2008)
<i>Halobacillus trueperi</i> DSM 10404 <sup>T</sup>	Glutamate, ectoine, hydroxyectoine, N- $\epsilon$ -acetyl lysine	2.5 M	Glucose + vitamins solution + trace elements + amino acids	Bursy et al. (2007)
<i>Marinococcus halophilus</i> DSM 20408 <sup>T</sup>	Ectoine, hydroxyectoine	1.71 M	Glucose	Severin et al. (1992)
<i>Marinococcus</i> sp. M52	Hydroxyectoine	1.54 M	Fish peptone	Schiraldi et al. (2006)
	Hydroxyectoine, ectoine		Glucose	Severin et al. (1992)
	Hydroxyectoine, ectoine, glutamate	1.71 M	Glucose + fish peptone	Frings et al. (1995)
<i>Salimicrobium albus</i> DSM 20748 <sup>T</sup>	Ectoine, alanine, hydroxyectoine	1.71 M	Glucose	Severin et al. (1992)
<i>Sporosarcina pasteurii</i> DSM 33 <sup>T</sup>	Ectoine, glutamate	0.68 M (sucrose)	Glucose + urea + amino acids and vitamins solution	Kuhlmann and Bremer (2002)
<i>Sporosarcina psychrophila</i> DSM 3 <sup>T</sup>	Ectoine, glutamate	1 M	Glucose + amino acids and vitamins solution	Kuhlmann and Bremer (2002)
<i>Virgibacillus marismortui</i> DSM 12325 <sup>T</sup>	Glutamate, ectoine, hydroxyectoine	1.8 M	Glucose	Bursy et al. (2008)
<i>Virgibacillus pantothenicus</i> DSM 26	Ectoine, glutamate, hydroxyectoine	1 M	Glucose + amino acids and vitamins solution	Kuhlmann and Bremer (2002)
<i>Virgibacillus salexigens</i> DSM 11438	Ectoine, glutamate	3.4 M	Glucose + amino acids and vitamins solution	Kuhlmann and Bremer (2002)
<b>Proteobacteria</b>				
<b>alpha-Proteobacteria</b>				
<i>Rhodovibrio salinarum</i> BN 40	Betaine, ectoine	3.42 M	Phototrophic medium	Severin et al. (1992)
<i>Rhodovulum sulfidophilum</i> DSM 1374 <sup>T</sup>	Ectoine	1.71 M	Phototrophic medium	Severin et al. (1992)
<b>gamma-Proteobacteria</b>				
<i>Chromohalobacter marismortui</i> ATCC 17056	Ectoine, betaine	1.71 M	Yeast extract	Severin et al. (1992)
<i>Chromohalobacter salexigens</i> DSM 3043 <sup>T</sup>	Ectoine, hydroxyectoine, glutamate, glutamine	3 M	Glucose	Canovas et al. (1997)
	Ectoine, hydroxyectoine, glutamate, trehalose, NADA	2.5 M	Glucose	Garcia-Esteva et al. (2006)
<i>Halomonas boliviensis</i> DSM 15516 <sup>T</sup>	Ectoine, hydroxyectoine	2.58 M	Glucose, glutamate	Guzman et al. (2009)
<i>Halomonas campisalis</i> ATCC 700597	Ectoine, hydroxyectoine, glycine betaine	Increasing gradient 0–3 M	Glucose + yeast extract + trace element solution	Aston and Peyton (2007)
	Ectoine, hydroxyectoine, glycine betaine	Increasing gradient 0–3 M	Glucose + NaNO <sub>3</sub> + trace element solution	Aston and Peyton (2007)

**Table 1** (continued)

Microorganism	Compatible solutes	Salt concentration	Carbon source	References
<i>Proteobacteria</i> gamma-Proteobacteria <i>Halomonas elongata</i> ATCC 33173 <sup>T</sup>	Ectoine, glutamate, glycine betaine, alanine, hydroxyectoine Ectoine, hydroxyectoine Ectoine Ectoine, trehalose, betaine	1.3–1.7–4.3 M 1.71 M Increasing gradient 0.51 ≥ 4.28 M	Glucose Glucose Glucose Glucose	Wohlfarth et al. (1990) Severin et al. (1992) Peters et al. (1990) Maskow and Babel (2001)
<i>Halomonas elongata</i> DSM 142	Ectoine, glutamate, alanine, NADA, hydroxyectoine	2.56 M (batch medium), 0 M (downshock medium)	Glucose	Sauer and Galinski (1998)
<i>Halomonas elongata</i> K63	Ectoine, alanine, NADA, hydroxyectoine	2.56 M	Glucose	Ono et al. (1998)
<i>Halomonas halmophila</i> CCM 2833 <sup>T</sup>	Ectoine, glutamate, alanine	1.71 M	Glucose	Wohlfarth et al. (1990)
<i>Halomonas halmophila</i> CCM 3662 <sup>T</sup>	Ectoine, hydroxyectoine	1.71 M	Glucose	Severin et al. (1992)
<i>Halomonas halmophila</i> CCM 3662 <sup>T</sup>	Ectoine, glutamate	1.71 M	Glucose	Severin et al. (1992)
<i>Halomonas halodenitrificans</i> DSM 735 <sup>T</sup>	Ectoine	1.71 M	Glucose	Severin et al. (1992)
<i>Halomonas variabilis</i> DSM 3051 <sup>T</sup>	Hydroxyectoine, betaine, ectoine, trehalose	1.71 M	Yeast extract	Severin et al. (1992)
<i>Halorhodospira abdelmalekii</i> DSM 2110 <sup>T</sup>	Betaine, ectoine, trehalose	3.42 M	Phototrophic medium	Wohlfarth et al. (1990)
<i>Halorhodospira halochloris</i> DSM 1059 <sup>T</sup>	Ectoine		Acetate, bicarbonate (grown photosynthetically)	Peters et al. (1990)
<i>Halorhodospira halophila</i> DSM 244 <sup>T</sup>	Betaine, ectoine, trehalose Betaine, ectoine, trehalose	3.42 M 3.42 M	Phototrophic medium Phototrophic medium	Wohlfarth et al. (1990) Wohlfarth et al. (1990)
<i>Marinobacter</i> <i>hydrocarbonoclasticus</i>	Ectoine, betaine, glutamate, beta-glutamate	1.5 M	Eicosane (sole carbon source)	FernandezLinares et al. (1996)
<i>Pantoea agglomerans</i> strain CPA-2	Ectoine, glycine betaine	1.2 M	Sucrose + Yeast extract	Canamas et al. (2007)
<i>Pseudomonas halophila</i> DSM 3050 <sup>T</sup>	Betaine, hydroxyectoine, ectoine	1.71 M	Yeast extract	Severin et al. (1992)
<i>Pseudomonas halosaccharolytica</i> CCM 2851	Ectoine, alanine, hydroxyectoine	1.71 M	Glucose	Severin et al. (1992)
<i>Thioalkalibacter halophilus</i> DSMZ 19224	Ectoine, glycine betaine	3 M	Glucose	Banciu et al. (2008)
<i>Vibrio alginolyticus</i> DSM 2171 <sup>T</sup>	Ectoine, alanine	1.71 M	Glucose	Severin et al. (1992)
<i>Vibrio cholerae</i> O139 strain MO10	Ectoine	0.5 M	Mixture of amino acids	Pflughoeft et al. (2003)
<i>Vibrio costicola</i> CCM 2811	Ectoine, betaine	1.71 M	Yeast extract	Severin et al. (1992)
<i>Vibrio fischeri</i> DSM 507	Ectoine, glutamate, betaine	0.86 M	Complex	Schmitz and Galinski (1996)
<i>Vibrio fischeri</i> DSM 7151	Glutamate, ectoine, betaine	0.86 M	Complex	Schmitz and Galinski (1996)
<i>Vibrio harveyi</i> DSM 2165	Ectoine, GABA, glutamate, alanine	0.86 M	Glycerol	Schmitz and Galinski (1996)
<i>Vibrio harveyi</i> DSM 6904	Ectoine, glutamate	0.86 M	Glycerol	Schmitz and Galinski (1996)
<i>Vibrio parahaemolyticus</i> RIMD2210633	Ectoine	1 M	Mixture of amino acids	Naughton et al. (2009)

with *H. elongata* ATCC 33174, were reclassified into the genus *Chromohalobacter*, as the new species *C. salexigens* DSM 3043 and ATCC 33174 (Arahal et al., 2001). For the sake of clarity, all of them will be referred as *C. salexigens* along this review, in order to differentiate them from the rest of *H. elongata* strains, which were not reclassified. The ectoine biosynthetic pathway starts with the phosphorylation of L-aspartate and shares its first two enzymatic steps with the biosynthesis

**Fig. 1.** Chemical structures of ectoines.

of amino acids of the aspartate family: the conversion of L-aspartate into L-aspartate-phosphate by the aspartate kinase (Ask), and the synthesis of L-aspartate-beta-semialdehyde from L-aspartate-phosphate, catalyzed by the L-aspartate-beta-semialdehyde dehydrogenase (Asd). From this general intermediate, the specific route for ectoine synthesis occurs in three enzymatic steps. First, L-aspartate-beta-semialdehyde is converted into L-diaminobutyric acid (by the enzyme L-diaminobutyric acid transaminase, EctB or ThpB), and is subsequently acetylated to N-γ-acetyldiaminobutyric acid (NADA) by the enzyme L-diaminobutyric acid acetyl transferase (EctA or ThpA). Finally, the cyclic condensation of NADA leads to the formation of ectoine through the activity of the enzyme ectoine synthase (EctC or ThpC) (Fig. 2). The main biosynthetic route to hydroxyectoine involves hydroxylation of ectoine by ectoine hydroxylase (EctD or ThpD), which has been characterized in *C. salexigens* and *Streptomyces chrysomallus* (Garcia-Esteva et al., 2006; Grammel, 2000) (Fig. 2).

In addition, a secondary route for hydroxyectoine synthesis without the involvement of ectoine, branching off the ectoine precursor NADA, has been suggested for *C. salexigens* (Fig. 2). However, the first enzyme(s) for this alternative pathway seem to show very little affinity for NADA, since



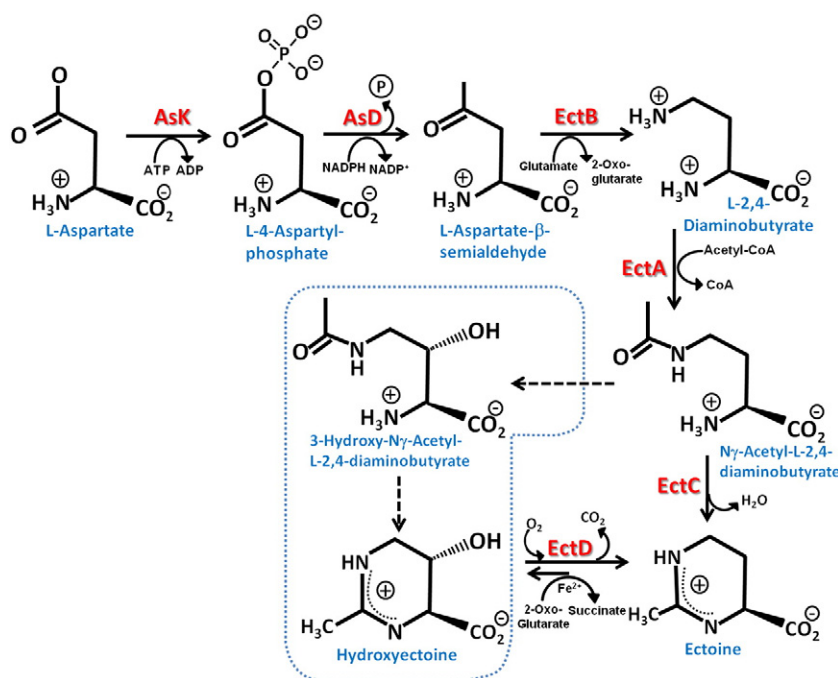


Fig. 2. Pathway for the synthesis of ectoines in *C. salexigens*.

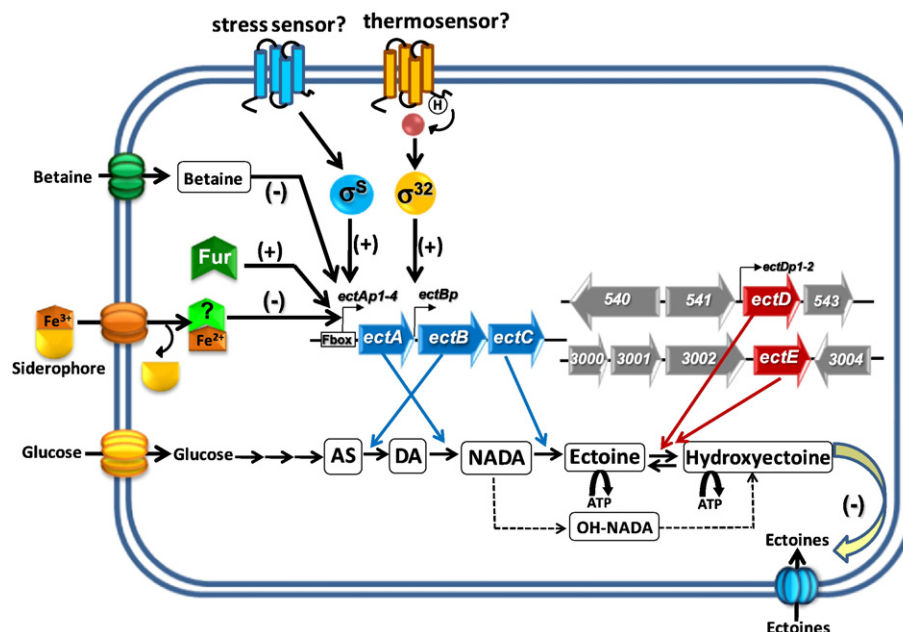
an *ectC* mutant, affected in the ectoine synthase gene, accumulates large amounts of the ectoine precursor. This finding suggests that either the enzyme(s) of the alternative pathway for hydroxyectoine synthesis hydroxylated NADA in a non-specific way, or that the alternative pathway functions under environmental conditions different from those assayed so far (Canovas et al., 1999).

Genes encoding the enzymes for the biosynthesis of ectoines have been identified in 50 bacterial genomes and in one archaeon, *Nitrosopumilus maritimus* (Lo et al., 2009). In these organisms, the *ectABC* genes are in almost all cases arranged as an operon, but there are rare (so far) exceptions, which might be mentioned. For instance, the  $\gamma$ -proteobacterium *Nitrosococcus oceani* carries *ectABD*, and *ectCask* (*ask* encoding aspartate kinase) within two separated transcriptional units. The  $\alpha$ -proteobacterium *Marinobacter aquaeolei* is exceptional in having *ectABask* in one transcriptional unit, three copies of *ectC* and two copies of *ectD*, all scattered within its chromosome. Redundancy of *ectD* also occurs in the  $\gamma$ -proteobacterium *C. salexigens*, which carries two functional copies of *ectD* (*ectD* and *ectE*, M. Reina-Bueno and C. Vargas, unpublished data). In a subset of the  $\alpha$ -,  $\beta$ -, and  $\gamma$ -*Proteobacteria*, there is a putative transcriptional regulatory gene (*ectR*) upstream of *ectA*, which is transcribed in the same direction as *ectABC* in  $\beta$ -*Proteobacteria*, and the opposite direction in  $\alpha$ - and  $\gamma$ -*Proteobacteria* (Lo et al., 2009). In  $\alpha$ -,  $\beta$ -*Proteobacteria*, *Actinobacteridae*, in a subset of *Firmicutes*, and in *N. maritimus*, *ectABC* are followed by the *ectD* gene as part of the same operon. This organization can also be found in some  $\gamma$ -*Proteobacteria*, but in a number of members of this subdivision, notably including *C. salexigens*, *ectD* is distant from the *ectABC* operon. Finally, in  $\alpha$ - and some  $\gamma$ - and  $\delta$ -*Proteobacteria* *ask* lays immediately downstream of and is co-transcribed with the *ectABC(D)* operon. The chromosomal position of the *ask-ect* gene suggests that might be co-regulated transcriptionally with the *ectABC(D)* genes (Lo et al., 2009).

Frequently, organisms have two or more aspartyl phosphate forming isoenzymes, whose allosteric regulation suggests that they have different dedicated functions in the synthesis of various biosynthetic products derived from aspartate (threonine, methionine, lysine, and ectoine). However, *C. salexigens* is an outlier, having only one aspartate kinase, which in addition is not near the *ectABC* operon in this organism. The control of the aspartate kinase has not been studied in *C. salexigens*, but because this enzyme carries out the first reaction of a divergent

pathway that leads to the synthesis of multiple biosynthetic precursors as well as ectoine, it will be both interesting and important to elucidate its transcriptional and allosteric control in this and other ectoine-producing organisms. *C. salexigens* DSM 3043 growth is inhibited by threonine in glucose-minimal medium, which is consistent with the possibility that this amino acid might be a feedback inhibitor of aspartate kinase, although the growth inhibition was not relieved by lysine and/or methionine or glycine betaine (which could supplant ectoine as a compatible solute) (K. O'Connor and L. N. Csonka, unpublished data). If the sole aspartate kinase of *C. salexigens* is subjected to allosteric regulation by its biosynthetic end-product(s), it might be possible to increase the production of ectoines by overproducing an allosteric feedback-insensitive mutant form of this enzyme.

Knowledge on the regulation of ectoine synthesis is important in order to generate engineered strains that are improved in ectoine production for prospective industrial use. In Gram-negative bacteria, most regulatory studies have been carried out in *C. salexigens* (Fig. 3). On the one hand, physiological data indicate that the levels of ectoine and hydroxyectoine are maximal during the stationary phase and that ectoine accumulation is up-regulated by salinity, whereas hydroxyectoine accumulation is up-regulated by both high salt and high temperature (García-Estépe et al., 2006). This regulation occurs, at least in part, at the transcriptional level. S1 protection assays and transcriptional fusions with the reporter gene *lacZ* demonstrated that the ectoine synthesis genes (*ectABC*) can be expressed from two promoter regions. One is located upstream of *ectA* and it is composed of four putative promoters (*PectA1–4*) and the second one is an internal promoter located upstream of *ectB* (*PectB*). Expression of *PectA–lacZ* and *PectB–lacZ* transcriptional fusions are maximal during stationary phase (in agreement with the physiological data) but they are also transcribed at reduced levels at low salinity, suggesting that *ectABC* may be partially constitutive. *PectA* expression is osmoregulated (in an *E. coli* background), and the S1 protection assays suggest that *PectA1*, *PectA3* and *PectA4* may be the osmoregulated promoters within the *PectA* region. Expression of *PectA*, and especially, *PectB* is induced by continuous growth at high temperature, and repressed in the presence of osmoprotectants (betaine and ectoine), the DNA gyrase inhibitor nalidixic acid and an excess of iron (only *PectA*) (Calderon et al., 2004). These data suggest that transcription of ectoine synthesis genes involves



**Fig. 3.** Accumulation of ectoines in *C. salexigens* and transcriptional control mechanisms.

In Gram-positive bacteria, regulation of ectoine synthesis was addressed in *Salibacillus pasteurii* (Kuhlmann and Bremer, 2002) and *M. halophilus* (Bestvater and Galinski, 2002; Louis and Galinski, 1997). Primer extension analysis showed that the *ectABC* genes of *Salibacillus pasteurii* are expressed from a single osmoregulated promoter whose  $-10$  and  $-35$  sequences resembled the consensus sequences of promoters recognized by the main vegetative sigma factor  $\sigma^A$  (orthologue of Gram-negative  $\sigma^{70}$ ). However, in *M. halophilus*, computer-assisted analysis of the DNA upstream of *ectABC* revealed the presence of a putative binding site for  $\sigma^B$  (orthologue of Gram-negative  $\sigma^S$ ), as the most promising candidate for transcription regulation in this organism (Bestvater and Galinski, 2002;

Ectoine transport and its regulation have been extensively studied by HJ Kunte and co-workers in the Gram-negative *H. elongata* DSM 2581 and the Gram-positive *M. halophilus* (see Kunte (2006) for a review). In *H. elongata*, these authors found a transcriptional unit containing three genes, *teaABC*, which showed to be essential for ectoine uptake (Grammann et al., 2002). *In silico* analysis predicted TeaABC to belong to the family of TRAP transporters, consisting of two putative transmembrane proteins (TeaB and TeaC) and a periplasmic ectoine-binding protein (TeaA) (Grammann et al., 2002; Tetsch and Kunte, 2002). *C. salexigens* genome carries orthologues to *H. elongata* *teaABC*, but arranged differently (*teaA* in one strand, followed by *teaBC* in the opposite strand). We have experimental evidence that this system is the main responsible for the uptake of ectoines in *C. salexigens* (J. Rodriguez-Moya and C. Vargas, unpublished data). On the other hand, the *M. halophilus* ectoine transporter, EctM, was predicted to be an osmoregulated hydrophobic, 525-residue protein, which shared significant identity to betaine-carnitine-choline transporters (BCCTs). This protein was suggested to have a high affinity for ectoine (Vermeulen and Kunte, 2004).

In addition to stress protection, one important secondary function of compatible solutes may be to serve as carbon, energy or nitrogen sources, either as intracellular reserves or as external sources made available to other organisms by release into the environment upon death or hypoosmotic shock of the producing strains. Carbohydrates such as glycerol, glucosylglycerol, trehalose, sucrose and amino acids such as

proline, glutamate and alanine, which are common compatible solutes in a wide range of Gram-positive and Gram-negative bacteria, actinomycetes and micro-algae, can be used as carbon, nitrogen and energy sources by a vast array of heterotrophic and phototrophic microorganisms (Welsh, 2000). In contrast, catabolism of ectoines (ectoine and hydroxyectoine) seems to be much more infrequent. Thus, the halotolerant species *Sporosarcina pasteurii* synthesizes and accumulates ectoine but cannot degrade ectoine or hydroxyectoine (Kuhlmann and Bremer, 2002). Similarly, *E. coli* can use ectoine as an osmoprotectant and hydroxyectoine both as osmo- and thermotoprotectant by transport from the medium, but cannot catabolize them as carbon or nitrogen sources (Jebbar et al., 1992; Malin and Lapidot, 1996).

There are, however, some bacteria able to use ectoine(s) for catabolic purposes. Thus, ectoine is metabolized, rather than accumulated, by *Sinorhizobium meliloti* under high osmolarity conditions, where it seems to enhance the synthesis of endogenous compatible solutes (Talibart et al., 1994). *H. halochloris*, the phototrophic sulphur bacterium in which ectoine was discovered, can degrade its internal ectoine pool during nitrogen starvation and replace it by trehalose, thus liberating nitrogen for cell growth (Galinski and Herzog, 1990). In addition, Manzanera et al. (2002) reported that *Pseudomonas putida* is able to internalise and degrade hydroxyectoine for catabolic purposes, but a similar role of ectoine was not investigated.

The biochemical pathway(s) leading to ectoine (and hydroxyectoine) degradation remains unknown. One important step towards the elucidation of these catabolic routes was the identification by Jebbar et al. (2005) of an ectoine-induced operon involved in the uptake and catabolism of ectoine in *S. meliloti* (*ehuABDC-eutABCD*). On the basis of sequence homologies, *eutABCDE* were found to encode enzymes with putative functions in ectoine metabolism. In addition, analysis of the properties of a *eutA* mutant unequivocally demonstrated that at least some of the *eut* genes are involved in ectoine degradation by *S. meliloti*. However, ectoine catabolism was not completely abolished in the mutant, suggesting that *S. meliloti* contains other genes encoding ectoine degradation enzymes.

Ectoine and hydroxyectoine serve as carbon sources for *C. salexigens* exclusively when grown under optimal osmolarity conditions (Vargas et al., 2006). In agreement with this, the transport of ectoine was maximal at the optimal salt concentration. In this microorganism, ectoine utilization was only partially down-regulated by glucose, suggesting the existence of at least two systems involved in ectoine catabolism in *C. salexigens*. This situation might be similar to that found in *S. meliloti*, where in addition to *EutABCDE* there is evidence for at least another ectoine-degrading pathway. Within the draft sequence of the *C. salexigens* genome (available at [http://genome.jgi-psf.org/mic\\_home.html](http://genome.jgi-psf.org/mic_home.html)) we have found a cluster of 11 genes, all oriented in the same direction, which may encode orthologues to *EutB*, *EutC*, *EutD*, and *EutE* ("E values" in BLASTP [<http://www.ncbi.nlm.nih.gov/BLAST>] ranging from 3e-72 to e-140). However, we did not find statistically significant matches to *EutA*, and the *eutBCDE* genes were organized differently, and apart from the *ehu* transport system found in *S. meliloti* (C. Vargas, unpublished results).

## 2. Importance and uses of ectoines

### 2.1. Macromolecule protection

An additional role for compatible solutes in general is that they can mitigate deleterious effects of heat stress, freezing, drying, high salinity, oxygen radicals, radiation, urea and other denaturing agents on the integrity of proteins, nucleic acids, biomembranes and even whole cells (da Costa et al., 1998; Lentzen and Schwarz, 2006). Among the different compatible solutes so far investigated, ectoines have shown to display the most powerful stabilizing properties (Lippert and Galinski, 1992).

#### 2.1.1. Effects on enzyme stability

The stabilizing properties of ectoines have been assayed in a variety of different enzymatic processes (Table 2). The thermodynamic aspects of protein stabilization by hydroxyectoine were studied by using differential scanning calorimetry and bovine ribonuclease A (Knapp et al., 1999). The significant stabilization of RNase A by hydroxyectoine makes it an interesting stabilizer in biotechnological processes in which enzymes are applied in the presence of denaturants or at high temperature (Knapp et al., 1999). Hydroxyectoine is also able to protect lactate dehydrogenase (LDH) from metal-catalyzed oxidation and against oxidation by hydrogen peroxide (Andersson et al., 2000), indicating that the protection is independent of the source of oxidative damage.

LDH and phosphofructokinase are highly susceptible to loss of activity by freeze-thawing, heating and freeze-drying. However, the activities of both enzymes were protected by ectoines under these treatments (Lippert and Galinski, 1992). The structural changes of LDH under conditions of freeze-thawing and urea treatment and the protection of the enzyme by ectoines were observed and it was proposed that the ectoines lead to a more compact conformation of the enzyme by modulating solvent properties (Göller and Galinski, 1999), supporting the hypothesis of "preferential exclusion of solutes from the protein surface" (Arakawa and Timasheff, 1985) (see Section 2.3.).

The polymer cyanophycin is a reserve material for nitrogen and energy of biotechnological interest (Joentgen et al., 2001) because the oligo-arginyl polyaspartic acid obtained by its degradation may be used as a biodegradable substitute in various technical products and processes (Schwamborn, 1998). Ectoine has been used to stabilize a new heterologous cyanophycin synthetase for *in vitro* cyanophycin and polyamide production on a technical scale (Hai et al., 2002). The activity of phytase, a phosphomonoesterase that hydrolyzes phytate and releases inorganic phosphate during feed pelleting (Keshavarz, 2000; Stahl et al., 2000), usually decreases due to the high temperature reached in this process (Ullah, 1988). Interestingly, ectoine markedly stabilizes the catalytic capacity of phytase at high temperature, showing a seven fold increase, if compared to that without ectoine at high temperature (Zhang et al., 2006).

#### 2.1.2. Effects on DNA structure

It was shown that ectoines induce a change in the structure of DNA such that many restriction endonucleases were no longer able to cleave it (Malin et al., 1999) (Table 2). Thus, addition of compatible solutes during a PCR reaction may change DNA conformation in a way that influences primer annealing and binding of the polymerase. It was confirmed that ectoine increases the thermal stability of DNA polymerases at elevated temperatures and diminishes the melting temperature of double stranded DNA (Lapidot et al., 1995). This second effect is greater for GC-rich templates than for GC-poor templates, being remarkable for homoectoine, a new synthetic derivative of ectoine (Table 2). Besides, homoectoine enhances the specificity of PCRs to 100%, avoiding the contaminating products supplemented in ectoine PCRs at some concentrations (Schnoor et al., 2004). Because of these properties, ectoines can enhance the efficiency of polymerase chain reactions with GC-rich templates but also to improve primer extension or sequencing methods (Lapidot et al., 1995).

The optimization of the microarray workflow, including the hybridization step is a primary target for the development of more efficient protocols. Thus, low millimolar concentrations of hydroxyectoine, potassium diglycerol phosphate and potassium mannosylglycerate reduced DNA microarray background (Table 2) and improved hybridization efficiency (Mascellani et al., 2007). In recent years it became clear that transcriptional regulation and recombination not only requires the interaction of sequence-specific proteins but also often depends on the association of DNA binding proteins without sequence-specificity (Azam and Ishihama, 1999; Rimsky et al., 2001; Schroder and Wagner, 2002). It was shown that the generalized DNA binding protein



**Table 2**  
Importance and uses of ectoines.

Effect	Compound	References
<i>Macromolecules protection</i>		
Protection of recombinant proteins against degradation, aggregation, misfolding and freezing	Hydroxyectoine	Barth et al. (2000)
Thermostability of cyanophycin synthetase	Ectoine	Hai et al. (2002)
Enzyme protection against heating freezing and drying.	Hydroxyectoine, ectoine	Lippert and Galinski (1992)
Thermostability of phytase (90 °C)	Ectoine	Zhang et al. (2006)
Protection of antibodies against proteolytic degradation	Ectoine	Bersch et al. (2000)
Protection against freeze–thaw stress of immunotoxins	Hydroxyectoine	Barth et al. (2000)
Effective lowering of DNA melting temperature	Ectoine	Lapidot et al. (1999)
PCR enhancer	Homoectoine	Schnoor et al. (2004)
Improve the quality of DNA microarrays	Hydroxyectoine	Mascellani et al. (2007)
Arresting of the cleavage site of plasmid and lambda DNA by Type II endonucleases	Hydroxyectoine	Malin et al. (1999)
Protection of oxidative protein damage (LDH)	Hydroxyectoine	Andersson et al. (2000)
Stabilization of the complex LRP and H-NS in transcription regulation	Ectoine	Pul et al. (2007)
Increasing stability and melting temperature of RNase A	Hydroxyectoine	Knapp et al. (1999)
Protection of LDH against heat, urea and freeze–thawing	Hydroxyectoine, ectoine	Göller and Galinski (1999)
Cell membrane protection against surfactant agent	Ectoine	Bunger (1999), Buenger et al. (2001), Graf et al. (2008)
Optimization of protein crystallization conditions for X-ray crystallography	Ectoine	Harjes et al. (2004)
<i>Potential therapeutic uses</i>		
Protection against nanoparticle-induced neutrophilic lung inflammation	Ectoine	Sydlik et al. (2009)
Protection small bowel from cold ischemia and subsequent warm <i>in vitro</i> reperfusion injury	Ectoine	Wei et al. (2009)
Protection of neuronal cells from polyglutamine-induced toxicity in the Machado–Joseph disease	Ectoine	Furusho et al. (2005)
Inhibition of the aggregation and neurotoxicity of Alzheimer's beta-amyloid	Ectoine/hydroxyectoine	Kanapathipillai et al. (2005)
Inhibition of insulin amyloid formation	Ectoine	Arora et al. (2004)
Protection for zymogens against proteolysis	Ectoine	Kolp et al. (2006)
Inhibit binding of a Tat-like, arginine-containing peptide, to HIV TAR RNA <i>in vitro</i>	Hydroxyectoine	Lapidot et al. (1995)
Inhibit aggregation and neurotoxicity of prion peptide 106–206	Ectoine	Kanapathipillai et al. (2008)
Stabilization of retroviral vectors for gene therapy	Hydroxyectoine	Cruz et al. (2006)
<i>Cell protection</i>		
Protection against dessication on <i>Pseudomonas putida</i>	Hydroxyectoine	Manzanera et al. (2002)
Protection against dessication on <i>E. coli</i>	Hydroxyectoine	Manzanera et al. (2004a)
Protection against plastic encapsulation of <i>E. coli</i> and <i>P. putida</i>	Hydroxyectoine	Manzanera et al. (2004b)
Promoting effect on the ethanol fermentation by <i>Zymomonas mobilis</i>	Ectoine	Zhang et al. (2008)
Implicated in detoxification of phenol in <i>Halomonas</i>	Ectoine	Maskow and Kleinstaub (2004)
Preservation of the respiratory activity on <i>Escherichia coli</i> ( <i>in vivo</i> )	Ectoine	Nagata et al. (2002)
Osmoprotection of lactic acid bacteria	Ectoine	Baliarda et al. (2003)
Induction of thermotolerance in <i>E. coli</i>	Hydroxyectoine	Malin and Lapidot (1996)
Stabilization of <i>E. coli</i> during drying and storage	Ectoine, hydroxyectoine	Louis et al. (1994)
Salt tolerance in ectoine-transformed tobacco plants	Ectoine	Moghaieb et al. (2006)
Salt tolerance in ectoine-transformed tobacco plants cells	Ectoine	Nakayama et al. (2000)b
<i>Skin protection</i>		
<i>In vivo</i> antiageing skin protection in humans	Ectoine	Heinrich et al. (2007)
<i>In vivo</i> protection against dehydration on the skin and <i>in silico</i> models for explaining it.	Ectoine	Graf et al. (2008)
<i>In vitro</i> antiageing skin protection in humans, mitochondrial DNA protection and inhibition of the inflammatory response mediated by ceramides.	Ectoine	Buenger and Driller (2004)
Induction of heat shock proteins and mediating in the proinflammatory response in the human keratinocytes	Ectoine	Buommino et al. (2005)
<i>In vitro</i> photoprotection of visible light	Ectoine	Botta et al. (2008)
<i>In vivo</i> moisturizer agent of human skin	Ectoine	Motitschke et al. (2000)
<i>In vivo</i> protection UV-induced degradation Langerhans cells	Ectoine	Beyer et al. (2000)
<i>In vitro</i> inhibition of the formation of SBCs and <i>in vivo</i> protection langerhans cells	Ectoine	Buenger et al. (2001) and Pfluecker et al. (2005)
Block of UVA-induced ceramide release in human keratinocytes	Ectoine	Grether-Beck et al. (2005)
<i>In vivo</i> protection against dehydration on the skin by surfactants	Ectoine	Bunger (1999)

H-NS stimulates LRP (leucine response protein) binding without being present in the final complex (Table 2). The presence of the osmolyte ectoine, which ensures that single-cell organisms maintain the proper level of hydration, also stimulates LRP binding (Pul et al., 2007).

### 2.1.3. Effect on protein structure

Insoluble or misfolded overexpressed proteins can often be partially denatured and refolded in the presence of osmolytes (Table 2). A specific example is the use of hydroxyectoine in the freeze–thaw purification process to enhance the yield of folded functional cytotoxic proteins overexpressed and redirected to the periplasm of *E. coli* (Barth et al., 2000). Ectoine was also shown to protect proteins from proteolysis

by trypsin and trypsinogen preserving its activity during incubation. Then, ectoines could function as promising additives whenever the use of protease inhibitors should be avoided. This may be the case for investigations on proteases as targets for the development of drugs (Kolp et al., 2006).

## 2.2. Biomedical potentials of ectoines

### 2.2.1. Protein stabilizing capacity of ectoines

Recently, there has been an increasing recognition of diseases associated with the misfolding of proteins (Dobson, 2003). In an important subgroup of misfolding diseases, protein aggregation leads



to the formation of highly regular aggregates termed amyloids. This phenomenon plays a key role in the development of diseases like Alzheimer's disease (AD) and spongiform encephalopathies (Harper and Lansbury, 1997; Murphy, 2002) (Table 2). It was shown that ectoine is a very effective inhibitor of amyloid formation decreasing both its initiation and elongation phase, in a study that used the formation of insulin amyloid *in vitro* as a model system (Arora et al., 2004).

Ectoines have been reported to be potential candidates of anti-amyloid therapeutics for treating Alzheimer's disease because they strongly inhibit the A $\beta$ 42 amyloid formation *in vitro* and reduce the toxicity to human neuroblastoma cells (Kanapathipillai et al., 2005) (Table 2). Prions are infectious particles that cause transmissible spongiform encephalopathies in animals and humans (Aguzzi and Polymenidou, 2004). They aggregate into protease-resistant amyloid fibrils, thereby inducing neuronal cell death by apoptosis, and causing proliferation and hypertrophy of cultured glia (Forloni et al., 1993; Pan et al., 1993). Neuroblastoma cells co-incubated with ectoine together with prions showed higher survival rate compared to control samples inhibiting prions amyloid formation and reducing their cytotoxicity (Kanapathipillai et al., 2008).

Protein misfolding is also a key event in the pathogenesis of polyglutamine diseases such as Machado–Joseph disease (MJD) (Table 2). Misfolding of the protein fragment containing an expanded polyglutamine stretch is considered significant in producing aggregates and cell death (Kakizuka, 1998; Paulson, 1999; Perutz, 1999). Previous studies suggest that nuclear inclusion is not a causative agent of the cytotoxicity, being instead protective by sequestering the toxic polyglutamine-expanded protein (Ferrigno and Silver, 2000). Ectoine reduces apoptotic features by reducing the total amount of aggregates and changing its intracellular distribution. Thus, it decreases cytotoxicity increasing frequency of nuclear inclusions (Furusho et al., 2005).

#### 2.2.2. Protective effects of ectoines in certain diseases

Ectoine administration inhibited nanoparticle-induced signaling, which is known to be responsible for proinflammatory reactions in lung epithelial cells (Sydlik et al., 2009) (Table 2). The inhalation of nanoparticles has been identified as a major driving hazard in the ambient air of modern industrialized societies. It is able to induce lung inflammation, the central pathogenic mechanism responsible for a multitude of organic and systemic diseases (Donaldson et al., 2005). The lung, as the primary target organ for particle-induced inflammation, is severely damaged by the constant recruitment and activation of inflammatory cells. Lung diseases like emphysema, chronic obstructive pulmonary disease, fibrosis and cancer (Bringardner et al., 2008; Macnee, 2007) as well as systemic disorders on the level of the cardiovascular and the immune system (Peters et al., 2004) occur as a consequence of these events.

Ischemia and subsequent reperfusion injury (I/R) remains a major obstacle to successful small bowel transplantation (Grant et al., 1990). It is generally accepted that I/R injury is the underlying pathophysiological mechanism for mucosal damage (Kong et al., 1998). Although intestinal mucosa has high regenerative ability, it is demonstrated that morphological recovery of the injured ileal mucosa after 24 h cold storage requires at least 1 month. This prolonged damage surely contributes not only to acute graft rejection, but also to various postoperative complications such as bacterial translocation, endotoxin absorption and long-lasting malnutrition (Zou et al., 2005). Ectoine effectively protects ileal mucosa and muscularis by diminishing ceramide as a mediator of apoptosis and oxidative stress consistent with simultaneous mitochondrial damage improving mucosal barrier function (Wei et al., 2009) (Table 2).

Another example is the replication of human immunodeficiency virus (HIV), which is critically dependent on two viral regulatory proteins, Tat and Rev. Tat is required in the early viral life cycle for efficient transcription of the viral genome. Tat acts by binding to an

RNA loop structure, the trans-acting responsive element (TAR), located at the 5' end of the viral mRNA (Cordingley et al., 1990; Dingwall et al., 1990; Roy et al., 1990). It has been reported that ectoine inhibits the interaction of Tat peptide with TAR RNA being  $\sim 10^6$  times more tightly bound. Besides, the uptake of [ $^{14}$ C] ectoines by both HeLa cells and human fibroblast cell line under normal cell growth conditions facilitates their consideration as potential antiviral drugs (Lapidot et al., 1995) (Table 2).

Finally, hydroxyectoine allowed long-term storage (half-life > 185 days, included among the best results) of retroviral vectors, which are promising tools for gene therapy. This is a quite interesting effect, since a wider use of those vectors is currently hampered by loss of their infectivity during storage and transport (Cruz et al., 2006) (Table 2).

#### 2.2.3. Cell protection capacity of ectoines

Ectoines do not only stabilize proteins and other macromolecules, but also are potent cell protectants (Table 2). Thus, ectoines added to the culture medium reversed the inhibition of *E. coli* growth caused by osmotic and temperature stresses (Malin and Lapidot, 1996). One of the mechanisms is the recovery of the glucose uptake activity (Nagata et al., 2002). When used with osmotically preconditioned bacteria, extracellular hydroxyectoine performs as well as extracellular trehalose for anhydrobiotic engineering of *E. coli* (Manzanera et al., 2004a). Louis et al. (1994) showed that ectoine and hydroxyectoine stabilized air-dried and freeze-dried *E. coli* cells during drying processes. In *P. putida*, hydroxyectoine was superior to trehalose as a drying excipient for storage (Manzanera et al., 2002), facilitating in this way a new method for drying and encapsulating the bacteria in plastic, demonstrating a potential application as a seed coating (Manzanera et al., 2004b). Ectoine also served as a specific osmoprotectant for the lactic acid bacterium *Tetragenococcus halophila*, which is used in soy sauce fermentation (Baliarda et al., 2003). Addition of ectoine improved cell growth and utilization of glucose, and protected the relative enzymes during ethanol fermentation in the Gram-negative ethanologenic bacterium *Zymomonas mobilis* (Zhang et al., 2008), which possesses advantages over *Saccharomyces cerevisiae*, since the former strain has a higher sugar–ethanol conversion (Jeffries, 2005) (Table 2).

Very recently, it has been reported that the supplementation of ectoine greatly improved the production of biodiesel by enzymatic conversion of triglycerides in cottonseed oil by using immobilized lipases, enhancing the reuse of the enzyme and significantly improving the methyl-ester concentrations in each recycling test (Wang and Zhang, 2010).

Nakayama et al. (2000a) transformed cultured tobacco cells with genes codifying for ectoine biosynthesis from *H. elongata*. Despite the low level of accumulation of this solute, the genetically engineered synthesis of ectoine resulted in an increased hyperosmotic tolerance. When those genes were later integrated in a stable manner into the tobacco genome by using an *Agrobacterium*-mediated gene delivery system, rates of ectoine accumulation were much higher, particularly in the roots. Ectoine protected the stomatal conductance and carboxylation activity, avoiding the depression of the photosynthetic rate by salinity (Moghaieb et al., 2006). The data revealed two ways in which ectoine enhanced salinity tolerance of tobacco plants. First, ectoine improved the maintenance of root function so that water was taken up consistently and supplied to shoots under saline conditions. Second, ectoine enhanced the nitrogen supply to leaves by increasing transpiration and by protecting Rubisco proteins from deleterious effects of salt, thereby improving the rate of photosynthesis (Moghaieb et al., 2006) (Table 2). This study opened the possibility to utilize the ectoine biosynthetic genes for the generation of transgenic crop plants that have an inbuilt enhanced tolerance to abiotic osmotic stresses, such as drought or salinity. Finally, *ectABC* operon genes from *M. halophilus* were transfected into tobacco plantlets via *Agrobacterium tumefaciens*. The transcripts were targeted to chloroplasts to become stabler. Ectoine

was detected in the transfected plants, although only with qualitative assays. As a result, increased salt tolerance from 100 to 300 mM at 25 °C, and higher stability of Rubisco activity were found in such plants (Rai et al., 2006).

#### 2.2.4. Skin protection capacity of ectoines

The cumulative effect of external factors like radiation, wind, humidity and extreme temperatures leads to skin ageing (Rabe et al., 2006). It has been demonstrated that ectoine protects and stabilizes the membranes of pre-treated cells against the damaging effect of surfactants as well as the human skin to stress factors that would normally lead to skin dehydration (Bunger, 1999; Graf et al., 2008) (Table 2). Thus, ectoine functions as a more potent moisturizer than glycerol and features long-term moisturizing efficacy (Graf et al., 2008). Moreover, a long-term exposure to UVA could lead to photocarcinogenesis (Sander et al., 2004), immunosuppression (Wang et al., 2001), photodermatoses and photoageing (Fourtanier et al., 2006; Krutmann, 2000). Ectoines protect skin from the effects of UVA-induced cell damage in a number of different ways. One of the mechanisms of the UVA exposure damage is the formation of ceramide by a singlet oxygen-mediated mechanism. The exposure of primary human keratinocytes with UVA produces an increased ceramide level and, consequently, an intracellular signalling cascade is activated leading to the expression of the proinflammatory intercellular adhesion molecule-1. These negative effects are successfully prevented by ectoine due to its singlet oxygen-quenching properties (Buenger and Driller, 2004; Grether-Beck et al., 2005).

It has been described that in human dermal fibroblasts large-scale mutations of the mitochondrial DNA, the so-called ‘common deletion’, are time and dose-dependent of UVA irradiation (Berneburg et al., 1997, 1999; Buenger and Driller, 2004). This DNA damage is accompanied by a marked up-regulation of the matrix metalloproteinase-1 followed by skin wrinkle formation (Fligel et al., 2003; Krutmann, 2000, 2003) but is prevented by ectoine. To restore cellular homeostasis, some mechanisms of cellular defense might be activated by inducing the expression of various genes, including the heat shock proteins (Hsps) (Giannessi et al., 2003). The expression of Hsp70 may be further induced in epidermal keratinocytes by heat treatment, providing a state of resistance against the deleterious effects of solar ultraviolet UV-B radiation (Souil et al., 2001). The Hsp70B9, a member of Hsp70 family, is strictly stress inducible and absent in unstressed cells (Leung et al., 1990; Tavaría et al., 1996). When keratinocyte cells were treated with ectoine and heat shocked, it was observed a marked increase of the constitutive form Hsp70 and a significant overexpression of Hsp70B9. The ability of ectoine to induce Hsps and downregulate proinflammatory signals results in a cytoprotective mechanism of keratinocytes (Buommino et al., 2005). Apart from the protection of skin from direct damage to keratinocytes, protection of Langerhans cells, key elements in the skin immune system, is provided too (Beyer et al., 2000; Buenger et al., 2001; Pfluecker et al., 2005; Tavaría et al., 1996) (Table 2).

Once the cells have been damaged to an extent that repair is no longer possible, cell death or apoptosis occurs to protect the body against the proliferation of such severely damaged and, hence, possibly mutating cells. These cells are formed in the human epidermis as a result of UV radiation and possess special characteristics – the so-called sunburn cells (SBCs) (Lizuka et al., 1988). There is distinct relationship between the formation rate of SBCs and the irradiation dosage administered. This relationship could, however, be displaced to a much higher UV dosage by pre-treating with ectoine (Buenger et al., 2001; Pfluecker et al., 2005).

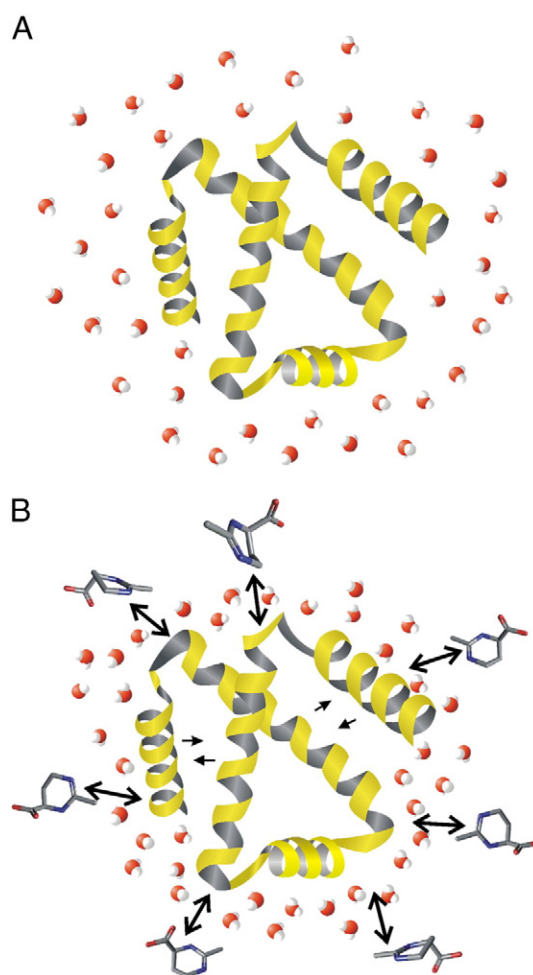
Visible light also induces DNA damage as it produces DNA single-strand breaks (SSBs), sister chromatid exchanges (Sideris et al., 1981) and intracellular Reactive Oxygen Species (ROS) (Omata et al., 2006) which therefore exert an indirect genotoxic effect via oxidative DNA lesions (Pflaum et al., 1998). By using cells and keratinocytes, it was shown that DNA lesions induced by visible and UVA/visible light were

reduced by ectoine, with maximal protective effects of 92.7% against visible light and 68.9% against UVA/visible light (Botta et al., 2008).

Because the activity of antioxidant enzymes and the levels of non-enzymatic antioxidants decline with age (Gonzalez-Ulloa and Flores, 1965; Tolmasoff et al., 1980), ectoine might prevent such oxidative damage in skin (Heinrich et al., 2007). In fact, its antiaging properties have been recently confirmed in a clinical trial comparing it with a high-quality skin care product (Heinrich et al., 2007).

#### 2.3. Understanding the activity of ectoines

Compatible solutes are amphiphilic in nature and capable of “wetting” hydrophobic proteins. It has been suggested that compatible solutes reverse osmotic inhibition because they increase the total water content and, hence, the cytoplasmic volume of cells (Cayley et al., 1992). The structure-forming and breaking properties of compatible solutes indirectly influence the hydration shells and thus the activities of the proteins involved (Wiggins, 1990), as will be discussed below. There are different theories for explaining how the protective function of the compatible solutes in a low water environment works. Here we summarize the most important. The *preferential exclusion model* was proposed as a universal mechanism of protein stabilization by compatible solutes (Arakawa and Timasheff, 1985; Timasheff, 2002) (Fig. 4). This model proposes that due to unfavourable interaction with



**Fig. 4.** Stabilization mechanism of compatible solutes. Based on the preferential exclusion model, the native conformation of a protein (A) is favoured by the presence of the compatible solutes molecules (B). A compact folded protein is generated by expelling the compatible solutes from the immediate hydration shell of the protein minimizing the volume from which the solute must be excluded. Small spheres represent water molecules and backbones represent compatible solutes (ectoine).

the surface of proteins, protective osmolytes are excluded from these, in contrast to denaturing solutes that are in contact with their surface. Thus, compatible solutes molecules are expelled from the immediate hydration shell of proteins and, consequently, unfolding needs additional energy and is disfavoured thermodynamically (Kurz, 2008; Lee and Timasheff, 1981). This, in turn, leads to preferential hydration of the protein because it is forced to occupy a smaller volume in order to minimize its exposed surface thus promoting its native conformation. Because compatible solutes do not interact directly with the protein surface, the catalytic activity remains unaffected (Galinski et al., 1997; Kolp et al., 2006).

According to this model, the so-called 'osmophobic' effect explains how this effect can be universal (Bolen and Baskakov, 2001). Hydrogen bonds, Van der Waals, electrostatic and hydrophobic interactions are responsible for protein folding. Furthermore, it was argued that the unfavourable interaction of the solute with the peptide backbone provides the molecular basis for solute exclusion and, subsequently, the stabilizing effect (Liu and Bolen, 1995; Qu et al., 1998; Wang and Bolen, 1997). This osmophobic effect becomes relevant in highly concentrated solutions and in organisms that require high intracellular concentrations of osmolytes. In contrast to the hydrophobic effect which causes nonpolar amino acid residues to aggregate in the protein interior, the osmophobic effect influences the conformation of the peptide backbone. In fact, it has been suggested that the configuration of the protein backbone is the most important determinant of stabilization or denaturation (Burg and Ferraris, 2008). Any interactions of osmolytes with hydrophobic residues of the unfolded protein do not overcome the osmophobic effect, nor do they interfere with the hydrophobic effect (Roberts, 2005). Why are stabilizing solutes repelled by the protein backbone? Bennion and Daggett (2004) showed that methylamines alter water structure, causing greater organization through stronger hydrogen bonding among water molecules. Possibly, the peptide bond of proteins is less able to interact with (i.e., be hydrated by) the organized water around methylamines than with bulk water (Yancey, 2005).

On the other hand, the *water replacement theory* (Clegg et al., 1982; Crowe et al., 1990) is based on the observation that many organisms are able to lose over 50% of cellular water, and to return to full activity after rehydration. Cellular structures can be protected by the accumulation of certain compatible solutes and their interactions with surfaces. Since, in this model, water is replaced by solutes, it seems to be the complete opposite of the *preferential interaction theory*. Replacement appears to be a very special situation at extremely low water activities, while the interaction model is valid for the more diluted range of solute concentrations. The importance of this model lies in the fact that the relative affinity of a solute towards water or protein may well be concentration dependent, especially when desiccation (i.e., very low water activity) is involved (Kanas and Acker, 2006).

Manzanera et al. (2004a) showed that although hydroxyectoine performed well as an extracellular protectant, it was less effective than trehalose as an intracellular stabilizer. A possible explanation of this in the context of the water replacement hypothesis is that hydroxyectoine has only a single OH group per molecule, whereas trehalose has multiple free hydroxyl groups. *P. putida* was less desiccation tolerant in dried in trehalose than in hydroxyectoine, although no such difference is seen with *E. coli* (Manzanera et al., 2002). It was hypothesized that *P. putida* might contain desiccation-sensitive extracytoplasmic structures in the periplasm or outer face of the cytoplasmic membrane that are protected by hydroxyectoine, but are not accessible to trehalose as a result of porin selectivity.

According to Crowe et al. (1990), OH groups can partially replace water molecules. It was demonstrated that during extensive dehydration, there is extensive hydrogen bonding between OH groups of trehalose and polar residues of the proteins that preserve the conformational state of the dry proteins similar to that seen prior to dehydration. It was also demonstrated that trehalose directly interacts

with the polar head groups of dry phosphatidylcholine, probably involving hydrogen bonding between OH groups on the trehalose and the phosphate, suggesting that trehalose can also have a stabilizing effect on lipid bilayers (Crowe et al., 1990). Lippert and Galinski (1992) speculated that free OH groups in hydroxyectoine and trehalose (but not in glycine betaine and ectoine) were required for effective stabilization of the enzymes assayed by formation of hydrogen bonds in macromolecules.

Ectoines have served as a model for the study of these interactions due to their biotechnological applications (Kurz, 2008; Lentzen and Schwarz, 2006). It is known that ectoine minimizes the denaturation that occurs upon the removal of water molecules by making the unfolding less favourable. In a study of proteases, it has been assumed that ectoine forces the unfold–fold equilibrium towards folded conformations, thereby preserving partial activity of these enzymes (Kolp et al., 2006). Water clusters around ectoine molecules remain stable for a long period of time, much longer than mixtures of water and glycerol that are disintegrated by the diffusion of water molecules out of the spheres (Graf et al., 2008). Thermodynamic aspects of protein stabilization by hydroxyectoine were studied using differential scanning calorimetry, with bovine ribonuclease A as a model protein. Calculation of the number of water molecules that additionally bind to unfolded RNase A resulted in surprisingly low number for this osmolyte (Knapp et al., 1999). Moreover, Yu and Nagaoka (2004) reported interesting results on molecular dynamic simulations performed for water–ectoine mixture models around chymotrypsin inhibitor 2 (CI2). According to their conclusions, ectoine maintains water at the surface by slowing down the water diffusion around a protein; the slowdown of water diffusion on the surface of the proteins should be the microscopic origin, which is connected to the kinetic and thermodynamic stabilization of the three dimensional structure of macromolecules.

By comparing the different interactions that were observed between CI2 and a smaller peptide, it was demonstrated that different parameters can influence in the preferential exclusion model (Yu et al., 2007). Thus, ectoine plays an indirect role in the alteration of the solvent properties and the modification of the stability of proteins (Göller and Galinski, 1999). However, it should be noted that the possible co-evolution of protein structures with cellular osmolyte compositions has so far received little attention.

### 3. Production processes for ectoines

The increasing commercial demand for ectoines has led to a number of efforts to improve their production from bacteria. In Table 1, microbial producers of ectoines, the different carbon sources used and production conditions are summarized.

Until middle nineties there was not any efficient, optimized method to produce ectoines in sufficient amounts to be commercially exploited, and it was either extracted from natural producers with low yields (Sauer and Galinski, 1998) or (and this was the main way) chemically synthesized (Koichi et al., 1991). To achieve a large-scale supply of ectoines in compliance with industrial demands, the production methods for ectoines have been optimized by using fed-batch and osmotic downshock ("bacterial milking") processes (Fig. 5). The reason why bacterial processes for the production of compatible solutes have been developed might be explained by the easier and higher specificity of product synthesis reached in comparison with the chemical synthesis, which generally consists of a series of reactions that lower the final product yield and may increase the number of separation steps and by-products generated. These by-products tend to be chemically similar to the aimed product, due to the low stereo-selectivity of chemical synthesis, which makes downstream purification processes even more difficult. Regio- and stereo-selectivity of enzymatic biotransformation enable for the specific production of the intended isomers. In addition, biotechnological processes tend to be far more environmentally friendly because the use of organic solvents



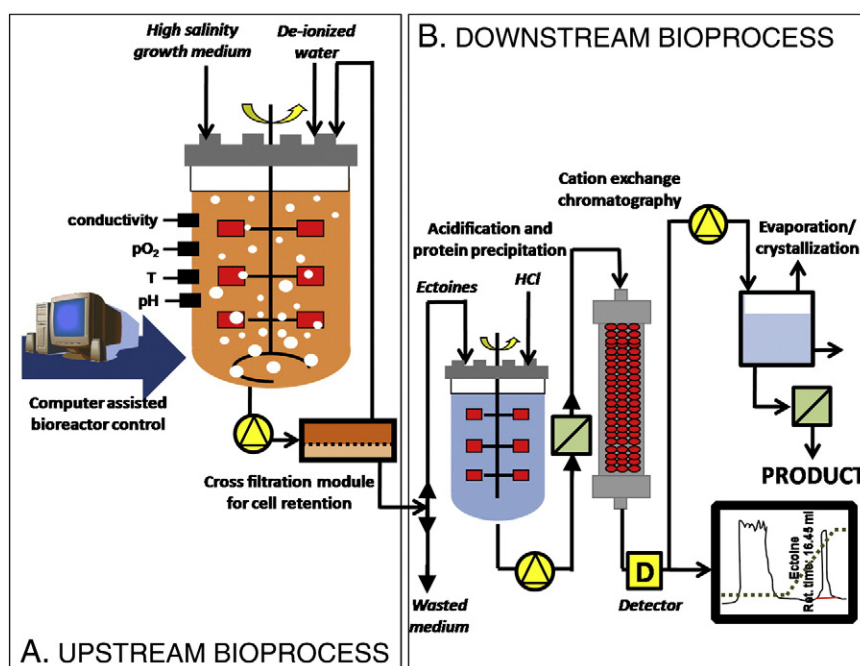


Fig. 5. General diagram of the industrial process for ectoine bioproduction.

and toxic chemicals is avoided. Bacterial methods, however, require high amounts of nutrients and finely tuned culture conditions such as pH, aeration, and nutrient feeding during the operation of fermentors. So far, mainly batch and fed-batch systems have been used for the production of ectoines (Tables 1, 3 and 4).

### 3.1. Ectoine

The “bacterial milking” is a fermentation technology procedure for large-scale internal metabolite extraction. These processes have been described in several microorganisms, such as *Escherichia coli* (Tsapis and Kepes, 1977), *Synechocystis* sp. (Reed et al., 1986) and some *Halorhodospira* species (Fischel and Oren, 1993), for the excretion of internal solutes. In the case of ectoine bioproduction, the bacterium *H. elongata* was chosen because of special characteristics that were not found in other species, namely a broad salt tolerance (being able to grow in media with a wide range of salt concentration) and, especially, their ability to respond to a hypoosmotic shock by rapid release of the intracellular ectoine pool and to rapidly resynthesize the excreted compatible solutes when transferred to a hyperosmotic medium (Fig. 5). *H. elongata* was subsequently applied as biofactory for the production of ectoine (Sauer and Galinski, 1998) (Table 3). In fact, the first process patented for ectoine production with such bacterium was held by the German firm Bitop AG (Galinski et al., 1994), using *H. elongata* ATCC 33173 as ectoine synthesizer.

Sauer and Galinski (1998) reported the aerobic culture of *H. elongata*, at 25 °C, in a medium with 15% w/v NaCl (2.57 M). The strategy consisted in setting-up a high cell-density fermentation (reaching up to 48 g<sub>cdw</sub> L<sup>-1</sup>) in a fed-batch reactor, supplying glucose as carbon source and ammonium chloride as nitrogen source (Table 3 and Fig. 5). Osmotic downshocks were carried out once a suitable optical density had been reached. Cross filtration was used to retain the cells, while replacing 80% of medium volume with distilled water. After one hour for the recovery of internal osmolarity of the cells, the same proportion of medium with excreted ectoines was withdrawn and replaced with the original salty medium, starting a new cycle of ectoine biosynthesis. The final maximum yield of ectoine reached was 155 mg g<sub>cdw</sub><sup>-1</sup> (Table 3).

Microorganisms belonging to the genus *Brevibacterium* have also been regarded as feasible biofactories for the production of ectoines (Tables 1 and 3). Similarly to *H. elongata*, an osmotic downshock based method has been described using the *Brevibacterium* sp. JCM 6894 strain (Nagata et al., 2008). Unlike the method of Sauer and Galinski, the downshocks were made by centrifuging the biomass and resuspending the cell pellets on distilled water (Table 3). However, ectoine yield and productivity were lower than those achieved by a formerly described process on *Brevibacterium epidermis*, which did not use periodic downshocks, but a final extraction process using distilled water and ethanol (Onraedt et al., 2005) and salt concentration as low as 1 M. This is an important point, since one of the main problems of this kind of processes is the drawbacks that working with high salt concentrations involve, mainly the corrosion of the equipment and the reduction in growth rate and maximum density, eventually leading to lower ectoine production (Table 3).

Another way to dodge the drawbacks of high salinity media in industrial processes of ectoines production might be the use of strains which could both naturally synthesize this compound and thrive under relatively low salinity conditions. Following this strategy, Zhang et al. used an ectoine-excreting strain of *Halomonas salina* grown in 0.5 M NaCl batch fermentors and with monosodic glutamate as a carbon and nitrogen source. They also carried out parallel cultures of *H. elongata* DSM 2581<sup>T</sup>, a non-ectoine-excreting strain to use them for comparison. This study also indicated the potential application of free or immobilized cells for continuous culture to produce ectoine (Zhang et al., 2009).

In most microorganisms, the osmoprotection response involves the formation of more than one compatible solute. In Tables 1 and 3, it is depicted that parallel to the production of ectoines, other compounds can be also obtained, including amino acids such as glutamate, proline and alanine, and others. It is the case of the halophile *Halomonas boliviensis*, for which a co-production process of ectoine and the biopolyester poly(3-hydroxybutyrate) (PHB) has been described. Thus, by using fed-batch fermentation and harvesting each product sequentially, it was obtained a slightly lower to similar yield of ectoine as those reached in previously described processes where ectoine is the sole target compound. Moreover, hydroxyectoine was also obtained (50 mg L<sup>-1</sup>) at 15% (w/v) NaCl (Guzman et al.,



**Table 3**

Reactor systems used for ectoines production.

Microorganism	Product	By-products	Production yield (mg g <sub>cdw</sub> <sup>-1</sup> )	Productivity (g L <sup>-1</sup> day <sup>-1</sup> )	Maximum biomass (g L <sup>-1</sup> )	Reactor system	Product extraction	Carbon source	NaCl	Ectoines excretion upon osmotic downshock	References
Actinobacteria											
<i>Brevibacterium epidermis</i> DSM 20659	Ectoine		160	2	50	Fed-batch	Water downshock Ethanol extraction	Sodium glutamate yeast extract	1 M	50%	Onraedt et al. (2005)
<i>Brevibacterium</i> sp. JCM 6894	Ectoine		150	0.34	NR	Batch	Water downshock	Polypeptone yeast extract	2 M	50%	Nagata et al. (2008)
Firmicutes											
<i>Marinococcus</i> sp. M52	Hydroxyectoine	Glutamate	135	1.21	56	Fed-batch–Batch <sup>10</sup>	Methanol extraction	Glucose and fish peptone	1.71 M	0%	Frings et al. (1995)
	Hydroxyectoine		70–80	0.8–0.9	45	Batch–Fed-batch <sup>10</sup>	Thermal permeabilization <sup>11</sup>	Glucose fish peptone	1.54 M	0% <sup>15</sup>	Schiraldi et al. (2006)
Proteobacteria											
<i>Chromohalobacter salexigens</i> DSM 3043	Ectoine		540 (Ect) 400 (OH-Ect)	32.5 (Ect) 17.5 (OH-Ect)	61	Continuous with cell retention	Downshocks	Glucose	1.84 M	95%	Fallet et al. (2010)
<i>Escherichia coli</i> DH5α <sup>1</sup>	Ectoine		270	0.96	22	Batch	Excreted by the cells	Glucose	0 M	–	Schubert et al. (2007)
<i>Halomonas boliviensis</i> DSM 15516	Ectoine PHB	Hydroxyectoine	170	3.4	33.5	Two step fed-batch	Thermal permeabilization <sup>11</sup>	Glucose and sodium glutamate <sup>12</sup>	2.13 M <sup>14</sup>	95%	Guzman et al. (2009)
	Ectoine	PHB <sup>2</sup>	154 (Ect) 36 (OH-Ect)	9.1 (Ect) 2.0 (OH-Ect) <sup>8</sup>	62.4 <sup>9</sup>	Two step fed-batch	Downshocks	Glucose and sodium glutamate <sup>13</sup>	2.55 M <sup>14</sup>	75%	Van-Thuoc et al. (2010)
<i>Halomonas elongata</i> DSM 142	Ectoine	Hydroxyectoine	155 <sup>3</sup>	5.3 <sup>4</sup> 1.3 <sup>5</sup>	48	Batch–Fed-batch	Downshocks	Glucose	2.57 M	90%	Sauer and Galinski (1998)
<i>Halomonas salina</i> DSM 5928	Ectoine		358 <sup>6</sup> , 220 <sup>7</sup>	7.9	19.4	Batch	Excreted	Sodium glutamate	0.5 M	–	Zhang et al. (2009)

NR = not reported.

1. Carrying plasmid pASK with *ectABC* operon from *C. salexigens* DSM 3043.

2. PHB by-production increased with downshock extraction cycles.

3. In mg g<sub>cdw</sub><sup>-1</sup> cycle<sup>-1</sup>.

4. Calculated for the whole process until the first extraction cycle.

5. Calculated for the whole process until the 9th cycle.

6. Total ectoine content.

7. Excreted ectoine.

8. Maximum productivity of hydroxyectoine was 5.7 g L<sup>-1</sup> day<sup>-1</sup>, reached on 3.15 M of NaCl at 2nd fed-batch.9. Maximum cell density was 78.6 g L<sup>-1</sup>, reached on 2.13 M of NaCl at 2nd fed-batch.

10. With medium exchange once, during fed-batch.

11. Optimum permeabilization temperature was 55 °C.

12. From early 2nd fed-batch, glutamate was not fed, to enhance PHB production.

13. Glutamate fed only on the 1st fed-batch.

14. Given salt concentration is from 2nd fed-batch; at 1st fed-batch it was 0.77 M.

15. Downshock at room temperature. 100% of extraction upon thermal permeabilization.

**Table 4**  
Heterologous producer characteristics.

Host	Origin	Genes	Heterologous genes location	Product yield	Salt range increase	References
<i>Escherichia coli</i>	<i>Marinococcus halophilus</i>	<i>ectA, ectB, ectC, orfA</i> <sup>1</sup>	Plasmid	1 <sup>2</sup>	From 0.51 to 0.86 M	Louis and Galinski (1997)
<i>Escherichia coli</i>	<i>Chromohalobacter salexigens</i> DSM 3043	<i>ectA, ectB, ectC</i>	Plasmid	ND	ND	Canovas et al. (1998)
<i>Bacillus subtilis</i>	<i>Bacillus pasteurii</i>	<i>ectA, ectB, ectC</i>	Genome	ND	ND	Kuhlmann and Bremer (2002)
<i>E. coli</i> DH5 $\alpha$	<i>Halomonas</i> sp. BIS-1	<i>ectA, ectB, ectC</i>	Plasmid	0.003 <sup>2</sup>	ND	He et al. (2006)
<i>E. coli</i> DH5 $\alpha$	<i>Chromohalobacter salexigens</i>	<i>ectA, ectB, ectC</i>	Plasmid	1.9 <sup>3</sup>	ND	Schubert et al. (2007)
<i>E. coli</i> DH5 $\alpha$	<i>Marinococcus halophilus</i>	<i>ectA, ectB, ectC</i>	Plasmid	0.4 <sup>2</sup>	From 0.51 to 0.86 M	Bestvater et al. (2008)
<i>Escherichia coli</i>	<i>Bacillus halodurans</i>	<i>ectA, ectB, ectC</i>	Plasmid	6 <sup>3</sup>	ND	Rajan et al. (2008)
<i>Chromohalobacter salexigens</i> DSM 3043	<i>Streptomyces cryosmallum</i>	<i>thpD</i>	Plasmid	100 <sup>4</sup>	ND <sup>5</sup>	Prabhu et al. (2004)
<i>Nicotiana tabacum</i>	<i>Marinococcus halophilus</i>	<i>ectA, ectB, ectC</i>	Plasmid	ND	From 0.1 to 0.3 M at 25 °C	Rai et al. (2006)

1. *orfA* is not related to metabolism of ectoines.

2. mmol of product/gram of cell dry weight.

3. mg/L of product (biomass not tested).

4. Percentage of ectoine conversion to hydroxyectoine, absolute yield not tested.

5. Host organism is already halotolerant.

2009). More recently, two cultivation steps were used for production of biomass and ectoine by the same bacterium, respectively. The optimization of some nutrient parameters in each step was investigated by using response surface methodology. Ectoine concentration of 6 g L<sup>-1</sup> was obtained, and the overall ectoine productivity was 9.1 g L<sup>-1</sup> day<sup>-1</sup>, being among the highest reported so far (Table 3) (Van-Thuoc et al., 2010).

Very recently, Fallet et al. (2010) used an integrated process consisting of two continuous bioreactors connected in series. This cascade outperformed hitherto reported processes for ectoine production in terms of titers and productivity (see Table 3) and exemplifies the potential of process optimization by continuous cultivation with integrated downstream processing modules.

### 3.2. 5-Hydroxyectoine

The hydroxylated derivative of ectoine, hydroxyectoine, has also been a target of economic interest, due to its specific biological features that make it different from other compatible solutes, such as its applicability to improve the thermoresistance of proteins and cells, and a greater stabilization capacity than ectoine (see previous sections). Hence, several processes have been described to exploit it efficiently. The drawbacks of the chemical synthesis of ectoine are even more relevant to hydroxyectoine, because that the hydroxyl group adds another chiral center to the molecule, what makes the biotechnological alternative even more interesting. *Marinococcus* M52 (Tables 1 and 3) is so far the most exploited microorganism to this purpose, yielding high amounts of hydroxyectoine from ectoine during the stationary phase of culture (Frings et al., 1995). A high cell-density method applying exponential feeding and downshock extraction for hydroxyectoine production by this strain was developed, reaching 134.8 mg g<sub>cdw</sub><sup>-1</sup>. These results were further improved by Schiraldi et al. (2006), who optimized dissolved oxygen concentration and growth media composition to obtain a maximal hydroxyectoine yield. Thus, dissolved oxygen content higher than 10% during cultivation led to more rapid accumulation of hydroxyectoine than of ectoine (with hydroxyectoine up to 1.6 g L<sup>-1</sup>). In addition, a novel extraction method based on osmotic downshock coupled with thermal permeabilization to recover the desired products from the biomass was also implemented, improving the product extraction step and, in doing this, also the productivity. However, the permeabilization step does not allow the application of ectoine synthesis/extraction cycles by reutilizing the cells, which is therefore a limitation for bioprocess optimization. Finally, by optimizing a two-step fed-batch cultivation of the halophilic bacterium *H. boliviensis*, Van-Thuoc et al. (2010) achieved a hydroxyectoine productivity of 2.0 g L<sup>-1</sup>, which is 2 to 5 times higher than that achieved by *Marinococcus* M52.

This hydroxyectoine productivity ranges among the highest reported so far (Table 3).

### 3.3. Heterologous ectoine producers

In parallel to all described above, many studies involving heterologous expression of ectoine synthesis pathways both in prokaryotic and eukaryotic organisms have been carried out (Table 4). Two are the main objectives that have boosted them, on the one hand, the benefits of transferring abiotic stress resistance to organisms that lack it naturally, in order to improve some interesting biological properties, and on the other hand, the advantages of getting rid of the drawbacks that arise from the use of high salt media in industrial ectoine production processes. In the latter case, prokaryotes are almost uniquely used as host organism, since they are much more suitable for the systematic transfer to high-scale bioprocesses and because of the availability of techniques for their genetic modification. *E. coli* is by far the most exploited host, as it is a model organism for biotechnological genetic engineering applications. In the last two decades, *E. coli* has been used as host for the heterologous expression of the ectoine synthesis gene cluster (which often occurs in nature as an operon), *ectA, ectB* and *ectC* from different halophiles (Fig. 3). The most used sources have been *M. halophilus* and *C. salexigens*, among others (Rajan et al., 2008) (Table 4).

*M. halophilus* ectoine synthesis genes are homologous to those of *H. elongata* and *C. salexigens* and have the same organization in a cluster (*ectABC*). They were first cloned and expressed in an *E. coli* strain, from a gene library (Louis and Galinski, 1997). *E. coli* is able to grow at salt concentrations of up to 0.5 M NaCl in minimal medium because of its intrinsic ability to adjust its cytoplasmic potassium glutamate and trehalose pools. At 0.8 M NaCl, more efficient compatible solutes, such as betaine or ectoine, are needed for growth, whereas on salt concentrations higher than 1 M, even externally supplied compatible solutes cannot make growth possible (Le Rudulier and Bouillard, 1983). Clones from the gene library with increased osmotolerance were selected and heterologous genes therein cloned were identified as *ectA, ectB* and *ectC*, thus showing, for the first time, how a non-halophilic microorganism can express functionally genes of a salt stress pathway. Clones carrying the *ectABC* genes synthesized up to 1 mmol g<sub>cdw</sub><sup>-1</sup> at 0.85 M NaCl. Further studies on heterologous expression of *M. halophilus* genes in *E. coli* were subsequently carried out, focusing on the regulatory mechanisms involved in the synthesis of both ectoine and aspartic derived amino acids that did not allow thorough expression of *ectABC* genes in *E. coli* (Bestvater et al., 2008). Feedback inhibition and/or repression by L-lysine, L-threonine and L-methionine, which normally operates in *E. coli*, showed to be the main mechanism hampering the transformed strain to reach intracellularly synthesized ectoine levels as high as those reached by uptake from the medium in the wild type strain. This fact was

demonstrated by providing transformed *E. coli* with an allosterically deregulated aspartate kinase from *Corynebacterium glutamicum*. Moreover, as first described [Louis and Galinski \(1997\)](#), expression of *ectABC* genes promoted growth of *E. coli* at salinities higher than 0.5 M ([Bestvater et al., 2008](#)). However, none of these studies achieved higher accumulation or excretion of ectoines from natural producers such as the *M. halophilus* or *Brevibacterium* species.

On the other hand, the transformation of *E. coli* cells with ectoine biosynthesis genes from *H. elongata* ([He et al., 2006](#)) or *C. salexigens* ([Canovas et al., 1998](#); [Schubert et al., 2007](#)) failed to promote growth of host cells on high salinity media, but reached high intracellular yields of ectoine. The ectoine genes of *C. salexigens* were introduced into an *E. coli* strain using an expression vector under the control of a *tet* promoter. The transgenic *E. coli* synthesized  $6 \text{ g L}^{-1}$  ectoine with a space-time yield of  $40 \text{ mg L}^{-1} \text{ h}^{-1}$ , with the vast majority of the ectoine being excreted ([Schubert et al., 2007](#)).

The use of other species as expression host has been approached as was the case of *B. subtilis* and *C. salexigens* DSM 3043 ([Kuhlmann and Bremer, 2002](#); [Prabhu et al., 2004](#)). Ectoine synthesis genes from one of the main producer species, namely *B. pasteurii*, were cloned in *B. subtilis* cells, which synthesize proline as main compatible solute, and their heterologous expression led to the production of ectoine. Another study was focused on the improvement of the hydroxyectoine “milking” bioproduction process. By cloning and expressing *thpD*, the ectoine hydroxylase encoding gene of *Streptomyces crysomallus*, in *C. salexigens*, a 100% of conversion of the ectoine naturally produced to hydroxyectoine was achieved ([Prabhu et al., 2004](#)). This allowed the production of hydroxyectoine at a lower salt concentration (1.7 M NaCl), although the absolute yield on hydroxyectoine was not reported. It should be underlined that this is the only case of a heterologous hydroxyectoine producing microorganism so far described in the literature. In fact, the non-engineered *Marinococcus* M52 strain continues to be the preferred choice for the industrial scale production of hydroxyectoine.

#### 4. Production systems

The first step to be taken before the setting-up of an industrial bioproduction is the choice of the production system, in this case the ectoine/hydroxyectoine producer microorganism. Such system has to accomplish some basic requirements that have to be analyzed prior to the design of the process. Growth temperature and pH must not be extreme, as it would impose severe restrictions when scaling-up, probably affecting the equipment used. The producer microorganism could be a natural producer of ectoines or could be an engineered strain of a non-halophile. Aerobic microorganisms are preferred, since respiration yields much higher biomass. Optimal salt concentration for maximum ectoine synthesis must also be taken into account, because of the drawbacks imposed by high salinity media, as previously explained.

Since ectoines are osmoprotectants, they usually accumulate intracellularly in order to offset external osmolarity, which implies the need of a proper extraction process. The applicability of the “milking” method generally used for the extraction of ectoines is also conditioned by the production system chosen. Gram-negative bacteria are much more sensitive to external salinity changes, and the survival rate depends on the way in which the downshock is applied, especially on the changes in the salinity range. On the other hand, Gram-positive bacteria withstand osmotic changes much better than the Gram-negative because of their rigid cell wall, but do not excrete intracellularly accumulated compatible solutes upon downshock treatment, as in the case of *Marinococcus* sp. M52 ([Frings et al., 1995](#)). Consequently, medium exchange and osmotic downshock are normally used in Gram-negatives to extrude ectoines, whereas in Gram-positives it is required to desalt the medium prior to the extraction of ectoines by other methods.

This “milking” process imposes some restrictions in process performance and development, and complicates downstream processing. Consequently, the use of microorganisms that are able to excrete ectoines

without requiring external osmolarity changes has been desired. For this aim, it is most likely essential to use an engineered non-halophilic microorganism. A successful example of this was *E. coli* carrying the *ectABC* cluster from *C. salexigens* ([Schubert et al., 2007](#)).

As stated above, there are two main types of production systems suitable to approach ectoine bioproduction: non-halophilic microorganisms bearing heterologous ectoine synthesis genes, and natural (halophilic) producers, cultured in optimal conditions for ectoine accumulation. Depending on which of the two production systems is chosen, different specific advantages or drawbacks may arise, which condition the subsequent setting-up of the reactor system. Many examples of both natural and engineered ectoine producers have been given throughout previous sections. In most cases, the natural producers have been shown to yield higher levels of ectoine accumulation, partly because of the absence of the metabolic bottlenecks imposed by the central metabolism of the host microorganism to the optimal performance of the heterologous production pathway, as demonstrated in the case of *E. coli* ([Bestvater et al., 2008](#)). In addition, the use of heterologous organisms involves certain drawbacks derived from the use of plasmids as gene vectors, which, so far, is the most common choice when generating ectoine synthesizing heterologous microorganisms. The development of proper culture media and feeding strategies, allowing optimal ectoine production and recovery while supporting high cell-density cultivation is a major drawback for many halophilic bacteria.

Another feasible strategy, which is halfway between these two, is the improvement of halophilic microorganisms by strain engineering. *H. elongata* cells bearing the *thpD* gene from *Streptomyces crysomallus* for hydroxyectoine synthesis improvement is an example of this ([Prabhu et al., 2004](#)). However, most scalable processes for ectoine production described so far, use natural producers and “milking” techniques. Thus, further efforts on strain improvement and the generation of optimal halophilic and non-halophilic recombinant producer strains are still needed in order to increase productivity and avoid the drawbacks from classical bioprocesses.

#### 5. Reactor systems

According to the specific features of the production system chosen, a suitable reactor configuration must be chosen to allow the proper performance of the production process. Since the main role of ectoines is to offset external osmolarity by accumulating intracellularly, cells have to synthesize them constantly during growth, although they are specially accumulated once the stationary phase of culture is reached. Therefore, for high production of ectoines it is important to achieve high cell density in the fermentor. High cell-density cultivations (HCDC) are a type of fermentation that aim to achieve the highest possible biomass density. These procedures have been applied for a long time for bioproduction of antibiotics, recombinant proteins, metabolites, polymers, etc., mainly in yeasts and mesophiles ([Bernal et al., 2007](#); [Canovas et al., 2003](#); [Obon et al., 1997](#); [Riesenberg and Guthke, 1999](#); [Shiloach and Fass, 2005](#)) and, more recently (from early nineties), also in some types of extremophiles, among them several halophiles ([Schiraldi and De Rosa, 2002](#)). The maximum cell densities reached in optimized HCDC vary widely depending on the nature of the cultured microorganism. Traditional HCDC of mesophiles and yeasts used to reach  $100\text{--}250 \text{ g L}^{-1}$  ([Riesenberg and Guthke, 1999](#)), whereas in halophiles those values are usually lower. In the specific case of ectoine producers, it is in the range of  $40\text{--}60 \text{ g L}^{-1}$  ([Table 3](#)) ([Fallet et al., 2010](#); [Frings et al., 1995](#); [Guzman et al., 2009](#); [Onraedt et al., 2005](#); [Sauer and Galinski, 1998](#); [Schiraldi et al., 2006](#); [Van-Thuoc et al., 2010](#)).

It is well-known that fed-batch reactors allow reaching higher maximal biomass levels than batch reactors, since growth limitation because of the exhaustion of some essential component of the medium is avoided by periodically feeding with either fresh medium or selected nutrient(s). Moreover, biomass level can be further improved in a fed-

batch reactor, applying cross-flow filtration to replace the growth medium by fresh broth, therefore getting rid of excreted metabolites, such as certain organic acids, that would inhibit cell growth. Maximum cell density achievable with a given microorganism depends strongly on the culture conditions, namely pH, aeration, medium composition and temperature (Riesenberg and Guthke, 1999; Shiloach and Fass, 2005). For most processes described so far in the literature several of these parameters had to be optimized to increase maximum biomass levels. As discussed above, optimal temperature and pH rarely reach extreme values, and, therefore, they are normally easy to control and scale-up. In the case of aerobic microorganisms, higher oxygen supply is demanded at high cell densities, and high stirring and aeration rates have to be applied. However, at the final stages of fed-batch cultivations, when the highest cell densities are reached, it is more difficult to keep such aeration (Riesenberg and Guthke, 1999). This fact can be critical in some cases, especially those in which aeration directly affects the rate of product synthesis. This was the case of hydroxyectoine production by *Marinococcus* sp. M52 (Schiraldi et al., 2006). At a given  $pO_2$  value, hydroxyectoine/ectoine synthesis ratio was constant during the exponential phase, and increased in stationary phase. The increase in the dissolved oxygen ( $pO_2$ ) in the culture broth allowed to further increase the hydroxyectoine synthesis ratio in the exponential phase of culture.

HCDC performance is also affected by the composition of the culture medium. At high concentration, carbon and nitrogen sources can inhibit growth, and other nutrients and salts may precipitate. For this reason, HCD fed-batch cultivations use different strategies of semicontinuous fresh medium supply during the process, to keep concentration of nutrients in a suitable range of concentrations (Frings et al., 1995; Schiraldi et al., 2006). In many cases, medium composition also has to be optimized for maximum ectoine production. Glucose as carbon and energy source and ammonium salts for nitrogen source are normally used (Table 3). Fish peptone/glucose proportion has been adjusted for optimal hydroxyectoine synthesis by *Marinococcus* sp. M52 cultivations, and sodium glutamate has been used as both carbon and nitrogen source for *B. epidermis* (Table 3) (Onraedt et al., 2005).

In some instances, two or more reactors have been coupled in series in order to separately optimize the cellular growth and bioproduction processes. A useful strategy is to apply a fed-batch process for obtaining high cell mass at a first stage and, following a filtration step, transferring the cells to a second fed-batch reactor with fresh medium where bioproduct synthesis/excretion occurs. This strategy is especially useful when the conditions for optimal growth are different from those for optimal bioproduct synthesis. It has been applied for ectoine production (Van-Thuoc et al., 2009, 2010), and for simultaneous obtention of ectoine and poly(3-hydroxybutyrate) (Guzman et al., 2009).

Although fed-batch cultures are generally chosen for HCDC, there are other options that can provide further advantages. Continuous reactors permit a finely tuned control of variables that cannot be performed with batch type reactors, and allow reaching a metabolic/physiologic stationary state, which favours the reproducibility. On standard continuous reactors, maximum biomass is lower than in batch type reactors, because of continuous loss of cells at the reactor outlet. However, HCD can be achieved by coupling a cell retention system, such as cross-flow filtration, or by cellular immobilization (Canovas et al., 2003; Obon et al., 1999; Riesenberg and Guthke, 1999; Shiloach and Fass, 2005). This has been recently applied for ectoine production by *C. salexigens* DSM 3043 in a system of two coupled continuous reactors, the first aimed obtaining high cell mass and, in the second one, the high cell-density broth from the former was downshocked with hypoosmotic medium to release the synthesized ectoines (Fallet et al., 2010). To date, this is the only case of an ectoine production process with continuous reactors. The excellent productivity reported by these authors encourage further investigations in

the development of continuous cultivation systems for the production of compatible solutes.

The main advantage of the bacterial “milking” process is that it allows extracting the desired product repeatedly, without significant loss of cell viability. However, as previously explained, it is only applicable to Gram-negative microorganisms. In fact, hypoosmotic downshock is widely used as extraction procedure in many ectoine production processes (Fallet et al., 2010; Nagata et al., 2008; Sauer and Galinski, 1998; Van-Thuoc et al., 2010). In the case of Gram-positive bacteria, the culture broth is exchanged with demineralized water, followed by extraction with organic solvents (generally, methanol or ethanol) for thorough separation of ectoines (Frings et al., 1995; Onraedt et al., 2005). To avoid the use of organic solvents for extraction, a method of thermal permeabilization has been described, solely using distilled water for medium exchange (Schiraldi et al., 2006). The main disadvantage of these extraction/permeabilization methods is that cells cannot be recycled back to the reactor, which lowers final process productivity. In addition, the type of product recovery method has a large impact on the downstream processing operations. While downshocks performed with distilled water permit a better removal of salts prior to extraction with organic solvents or thermal permeabilization, very often, downshock for milking processes must be performed in the presence of a minimal salt concentration to keep the cells viable. Therefore, product thus obtained has to be subsequently desalted during purification (Fallet et al., 2010; Sauer and Galinski, 1998; Van-Thuoc et al., 2010). In the industrial processes, separation and purification operations are coupled to the “milking”/extraction stage. If the milking process has been used for extraction, desalting and concentration is performed by chromatographic techniques, namely cation exchange or ion-retarding resins (Sauer and Galinski, 1998). On the other hand, ectoine extraction with organic solvents carries over many more contaminants, such as salts and cellular proteins and metabolites, and several additional purification steps (centrifugation/filtration) are needed (Frings et al., 1995; Onraedt et al., 2005), which imposes novel constraints for process development.

## 6. Perspectives

In general, current methodologies for high scale production of ectoines involve fed-batch high density cultures and “bacterial milking” for extraction (Table 3). These bioprocesses are affected by a number of drawbacks which remain to be tackled.

Since the high salinity needed in most of the processes described so far (up to 2.5 M, Table 1) may affect the equipment used for cultivation and the subsequent downstream processes, the identification of novel natural ectoine-producing strains or the development of new engineered biocatalysts able to produce ectoines at low or moderate salt concentrations should be a major objective to be pursued. Additionally, the strains should be able to grow to high cell densities and the processes should be adaptable to the high scales needed for industrial production. There is room for the improvement of downstream operations. Recently, the development of an ectoine extraction procedure involving cellular permeabilization has underlined the advantages of more environmentally friendly techniques (Schiraldi et al., 2006). Moreover, the improvement of bacterial milking or even the development of ectoine-excreting strains can also be envisaged (Zhang et al., 2009).

The next generation technologies may be also focused on ectoine-producing strains that may use inexpensive stocks of carbon; example could be  $CO_2$  (phototrophs)- or  $CH_4$  (methanotrophs)-based technology. Several phototrophic and methanotrophic ectoine producers were reported. Exploring technological potential of these cultures is very interesting; as such technologies could be beneficial for environment if they are linked to  $CO_2$  or  $CH_4$  mitigation.



As stated before, further efforts are needed in order to improve high scale microbial culture strategies, particularly for extremophile microorganisms. A higher efficiency in biomass production will depend on the ability to redirect metabolic fluxes to optimize growth and to adapt current culture strategies to meet microbial needs by means of the control of parameters such as stirring, pH, salinity and aeration. A better description of central metabolism of producer strains is needed, with a special focus on the ability to use the carbon source for the generation of energy and metabolic building blocks and, at the same time, a balanced generation of ectoines. In order to improve metabolic efficiency, futile cycles and metabolic overflow mechanisms should be described, understood and minimized. Knowledge on the regulatory mechanisms involved in the coordinated control of ectoine and aspartate-derived amino acids should be gained as well, so as to identify metabolic bottlenecks to be targeted by genetic engineering. This will involve a thorough biochemical characterization of the enzymes involved and their regulation by end products.

Finally, a Systems Biology approach for the understanding of the ectoines production process, describing the connection of biosynthetic pathways with central metabolism is still needed. Metabolic models describing the activity of the strains will be the basis for the identification of optimization strategies. A combined model of reactor and metabolism, linking the macrokinetics of the reactor with the cellular microkinetics, should show control points at macroscopic (reactor operation) and microscopic (molecular) levels where conversion and productivity could be increased.

## 7. Conclusions

In this review, we have discussed current uses and importance of ectoines, from the protection and stabilization of proteins and nucleic acids to their role as a therapeutic for certain diseases by preventing whole cell damage and loss of viability. The high number of applications so far identified, together with the several novel ones which are envisaged in the next years will surely result in a growing world wide commercial demand for ectoines. In the last years, this fact has led to a multiplication of efforts to improve their production from bacteria.

Current methodologies for high scale ectoine production stem from initial fundamental studies of their role in microbial osmoprotection. Bioprocess engineers have developed scalable methodologies for industrial cultivation of halophilic microorganisms and for the recovery of these compatible solutes. So far, molecular engineering efforts for the generation of recombinant mesophilic producers have not been very successful. In order to develop novel processes with improved performance, new strategies have to be designed and Metabolic Engineering and Systems Biology are highly valuable tools to rationally approach the development of engineered microorganisms. Therefore, a closer link between fundamental and applied research is foreseen.

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